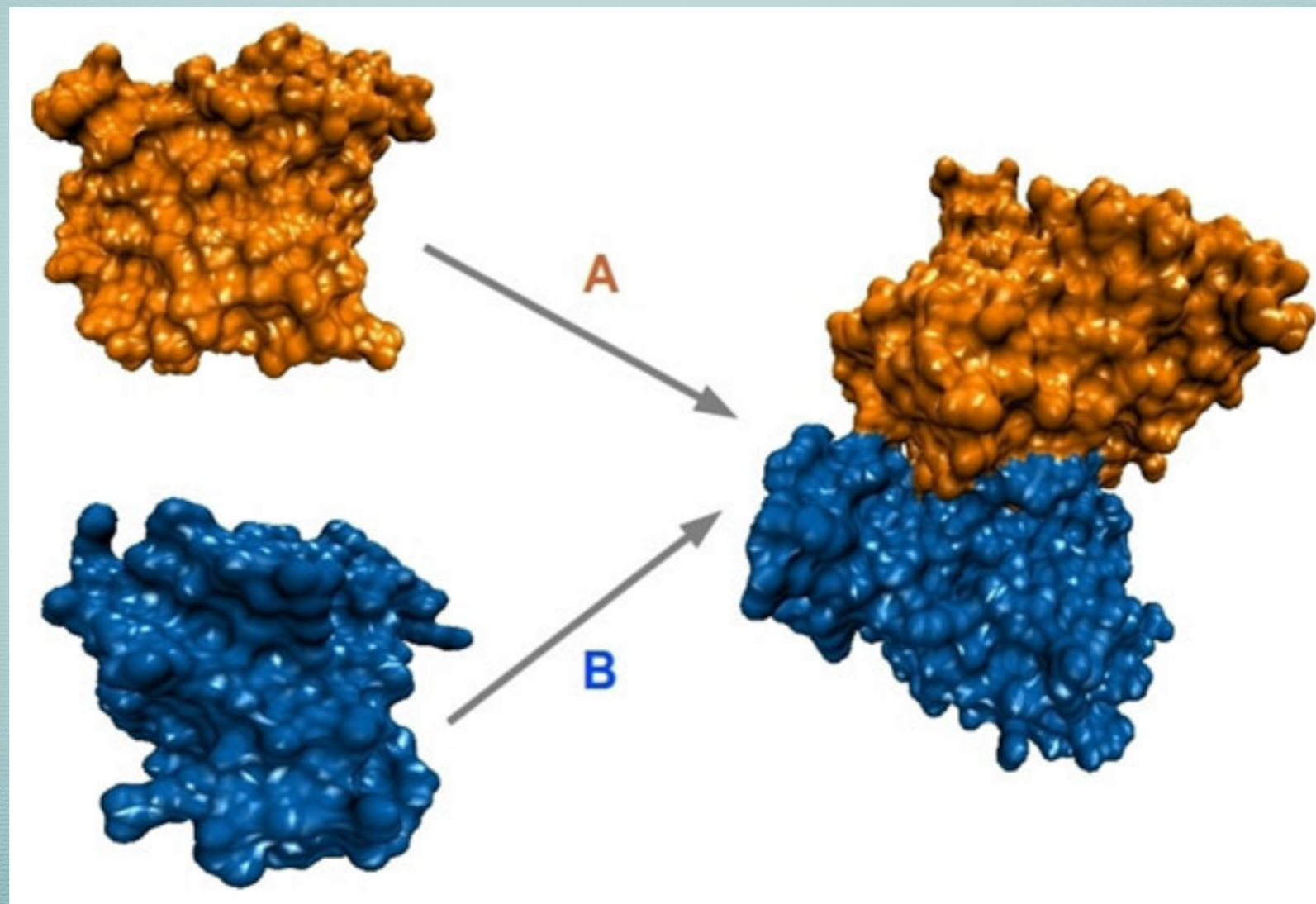


# Persistent homology

Stability and applications

# Inception

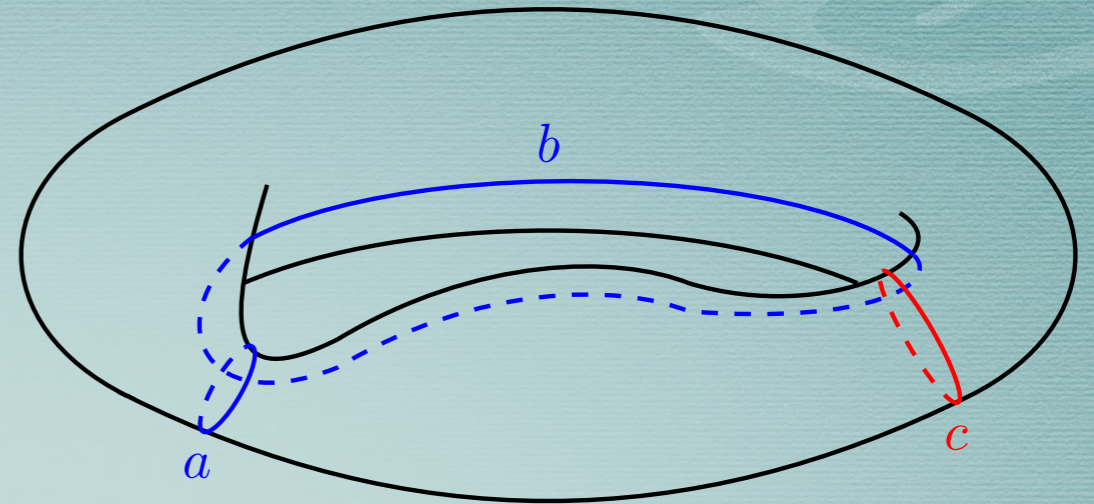
# Edelsbrunner's motivation: Protein docking



# Homology

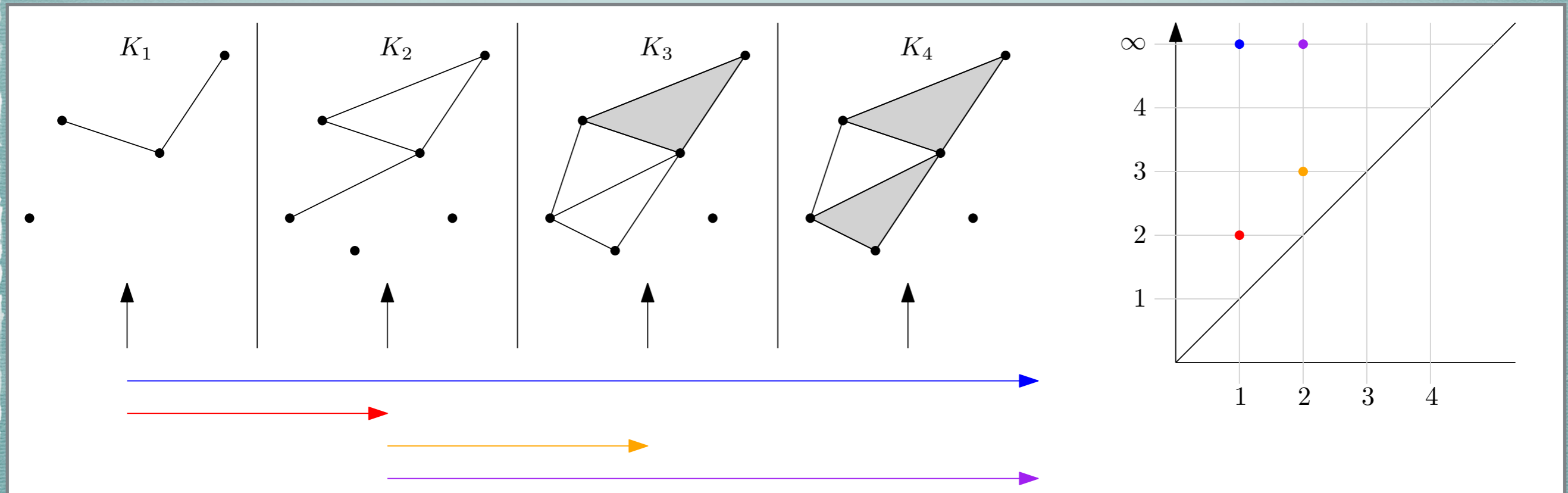
Counts holes:

$$\beta_0 = 0; \quad \beta_1 = 2; \quad \beta_2 = 1$$



# Persistent homology

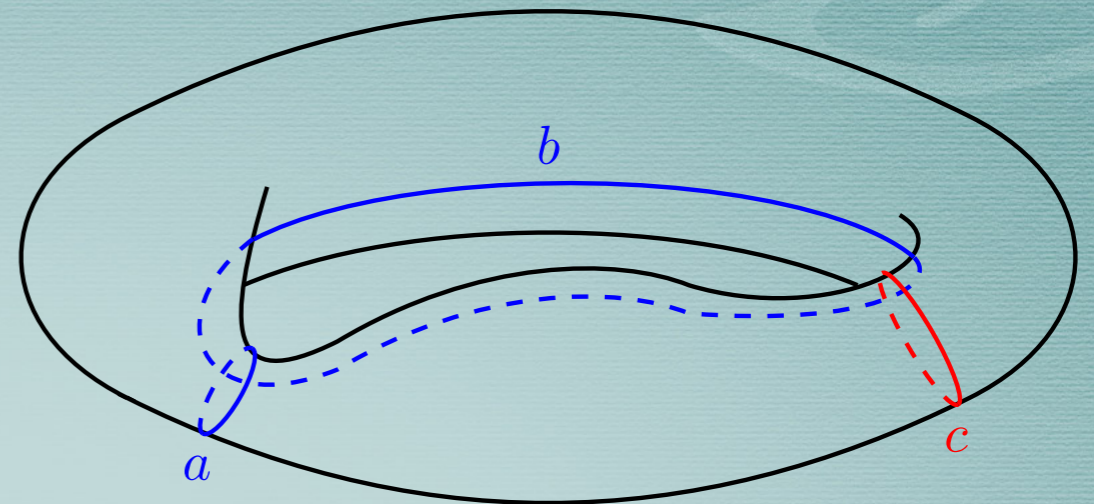
Describes evolution of holes:



# Homology

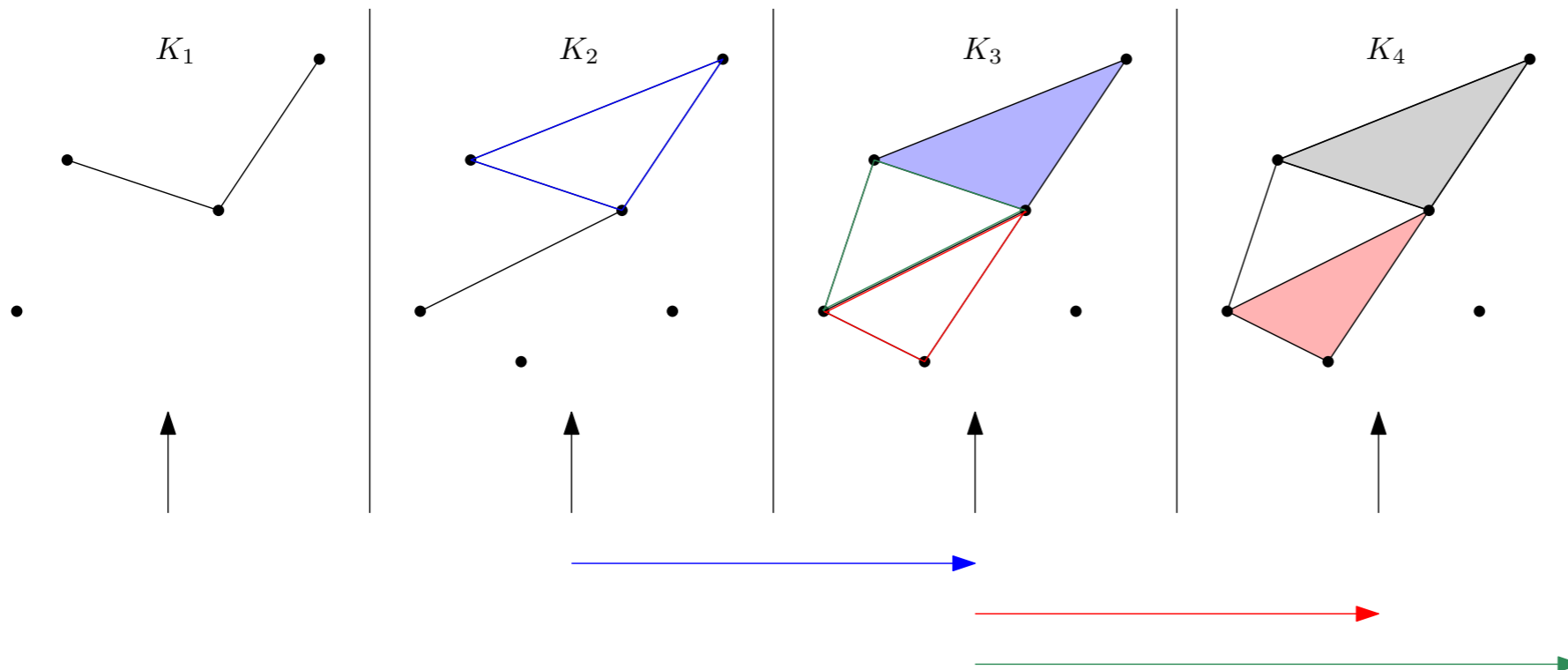
Counts holes:

$$\beta_0 = 0; \quad \beta_1 = 2; \quad \beta_2 = 1$$



# Persistent homology

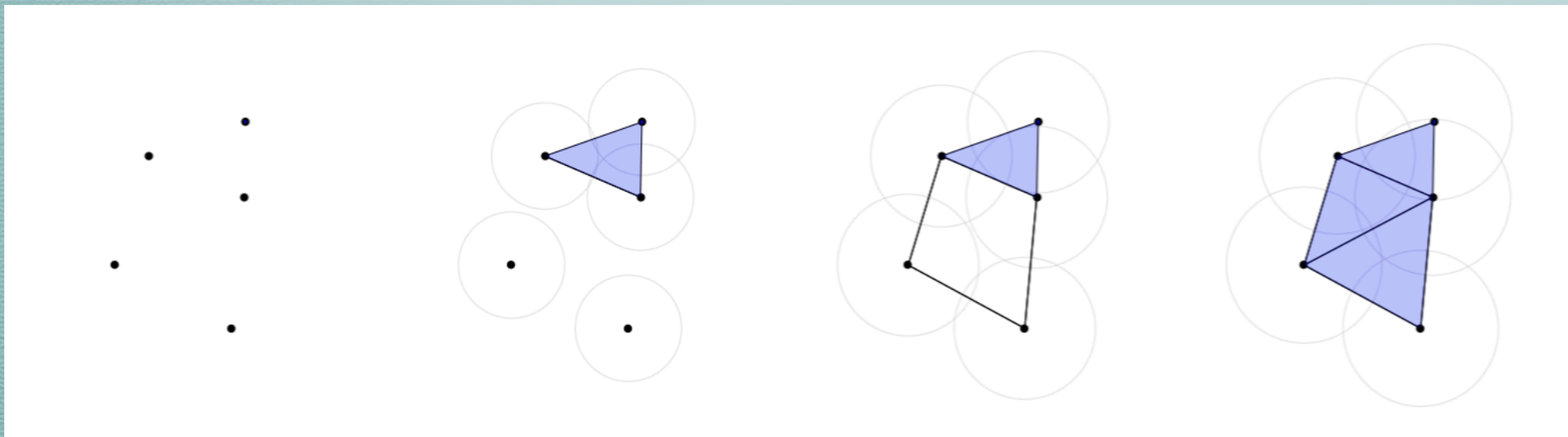
Describes evolution of holes



# TDA pipeline

# TDA pipeline

Space or pointcloud  $\rightarrow$  Filtration



**Rips complex** of a metric space  $X$  at  $r > 0$ :

$$\sigma \subset X \in \text{Rips}(X, r) \Leftrightarrow \text{diam}(\sigma) \leq r$$

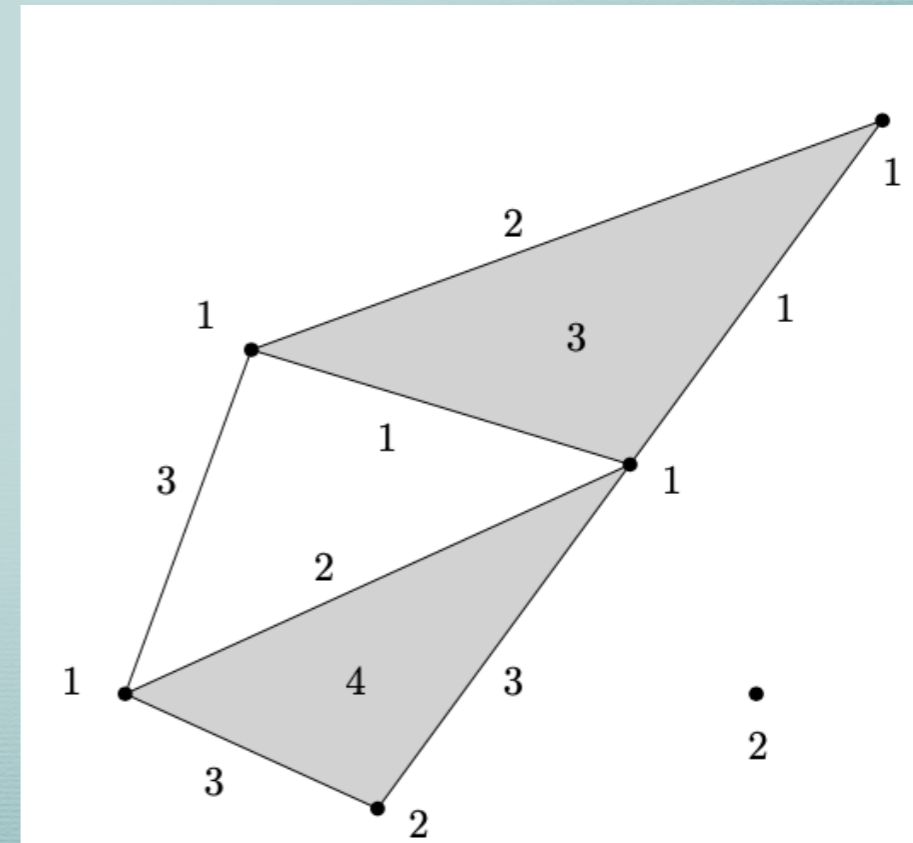
# TDA pipeline

Space or pointcloud

→ Filtration →

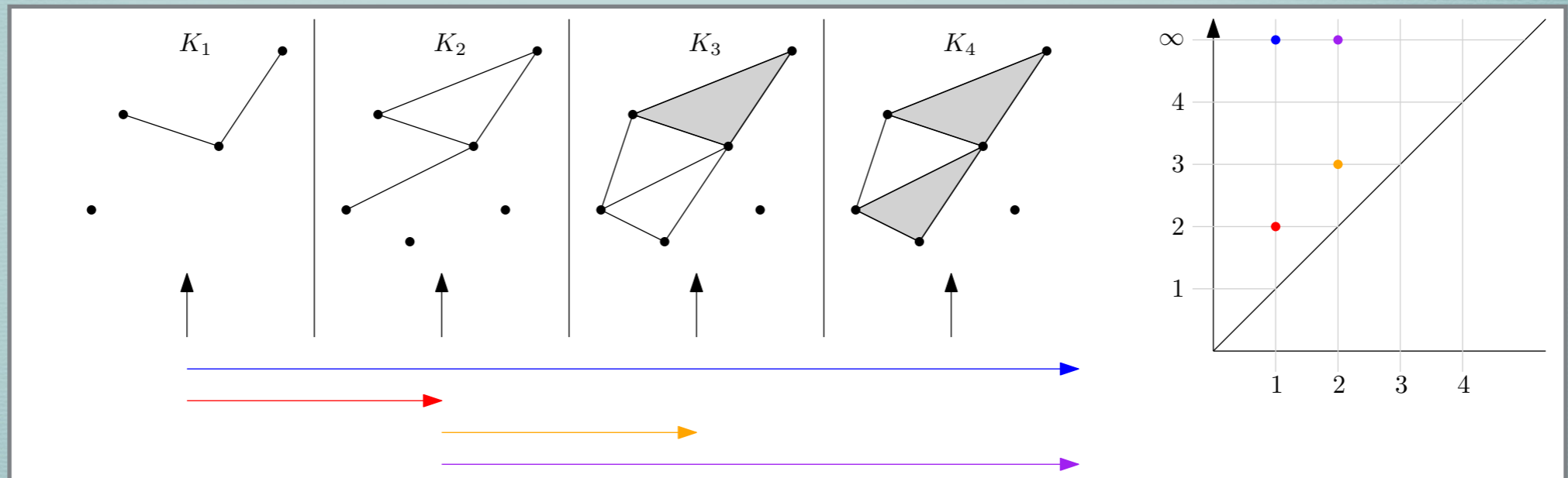
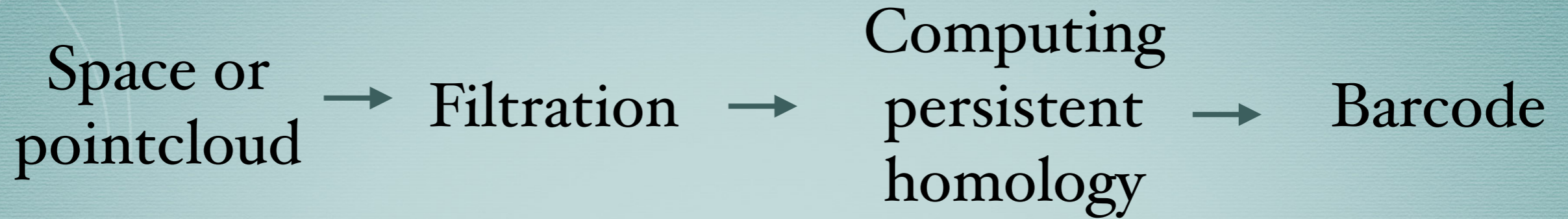
Computing persistent homology

$$\begin{array}{l}
 \langle a \rangle \\
 \langle b \rangle \\
 \langle c \rangle \\
 \langle d \rangle \\
 \langle e \rangle \\
 \langle f \rangle
 \end{array}
 \left(
 \begin{array}{cc|cc|cc}
 \langle b,c \rangle & \langle b,d \rangle & \langle a,b \rangle & \langle c,d \rangle & \langle a,c \rangle & \langle a,e \rangle & \langle b,e \rangle \\
 \hline
 & & -1 & & -1 & -1 & \\
 -1 & -1 & 1 & & & & -1 \\
 1 & & & -1 & 1 & & \\
 & 1 & & 1 & & & \\
 \hline
 & & & & & 1 & 1 \\
 & & & & & & 
 \end{array}
 \right)$$


$$\begin{array}{l}
 \langle a \rangle \\
 \langle b \rangle \\
 \langle c \rangle \\
 \langle d \rangle \\
 \langle e \rangle \\
 \langle f \rangle
 \end{array}
 \left(
 \begin{array}{cc|cc|cc}
 \langle b,c \rangle & \langle b,d \rangle & \langle a,b \rangle & \langle c,d \rangle & \langle a,c \rangle & \langle a,e \rangle & \langle b,e \rangle \\
 \hline
 & & -1 & & & -1 & \\
 -1 & -1 & \boxed{1} & & & & \\
 \boxed{1} & & \boxed{1} & & & & \\
 & \boxed{1} & & & & & \\
 \hline
 & & & & & \boxed{1} & 
 \end{array}
 \right)$$




# TDA pipeline



	$\langle b, c \rangle$	$\langle b, d \rangle$	$\langle a, b \rangle$	$\langle c, d \rangle$	$\langle a, c \rangle$	$\langle a, e \rangle$	$\langle b, e \rangle$
$\langle a \rangle$			-1			-1	
$\langle b \rangle$	-1	-1	1				
$\langle c \rangle$	1						
$\langle d \rangle$		1					
$\langle e \rangle$						1	
$\langle f \rangle$							

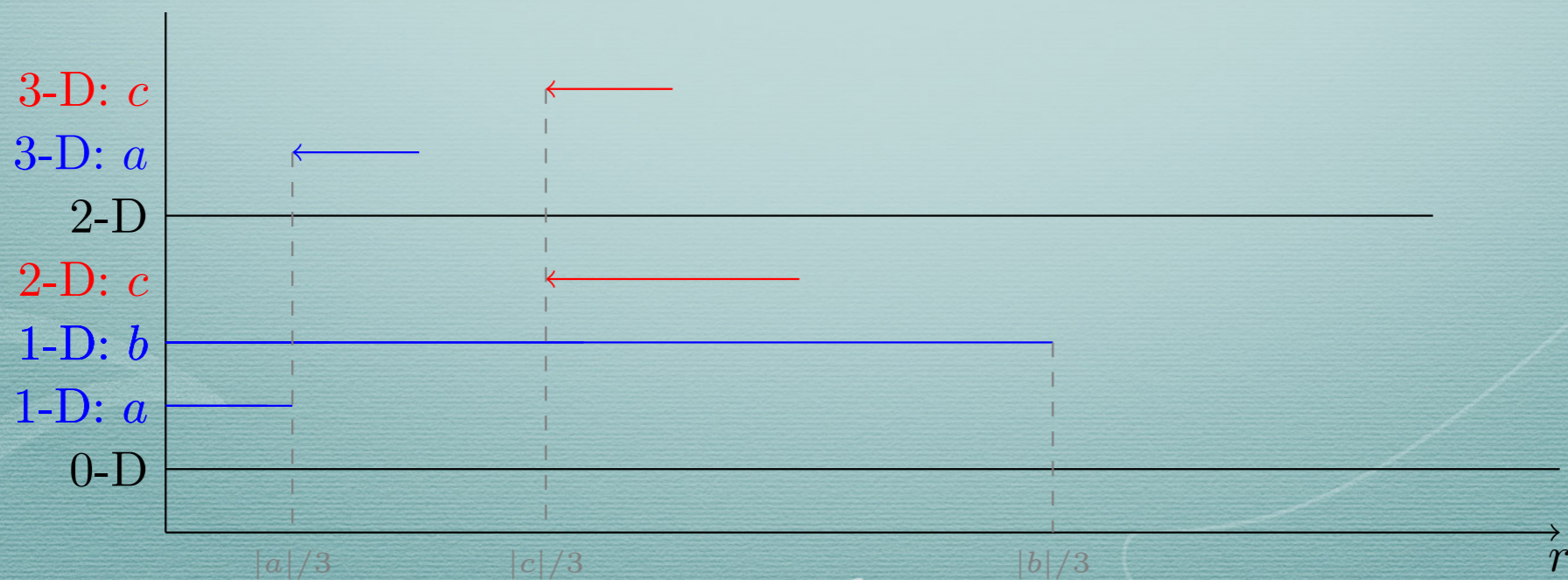
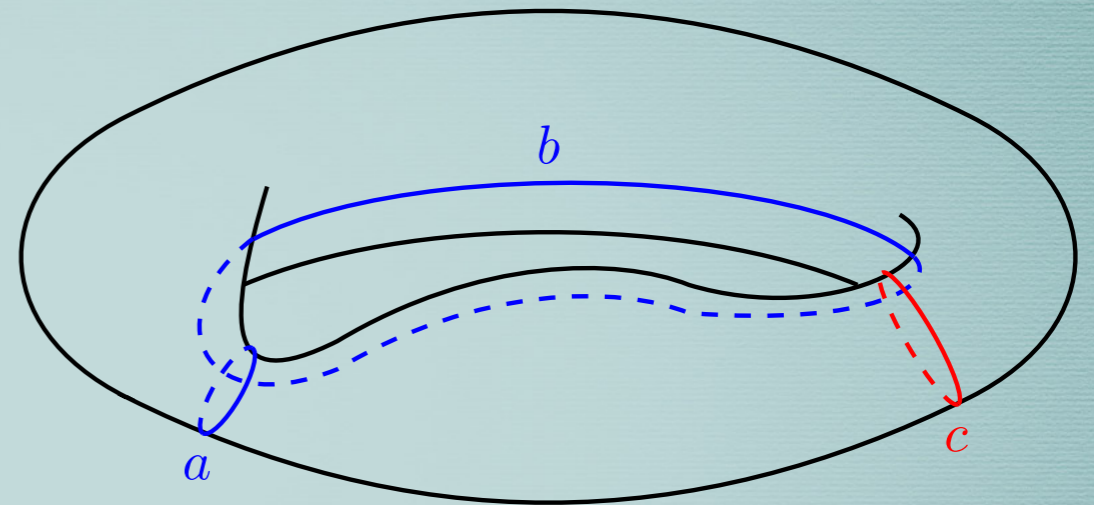


What to expect from  
persistence diagrams?

# What to expect from a PD?

**Expectations:** Given a space  $X$ , PH via Rips or Cech complexes looks as follows:

- Initially homology of  $X$  at certain intermediate scales
- Only one o-bar at infinity (contractibility)
- Mysterious homology in between.



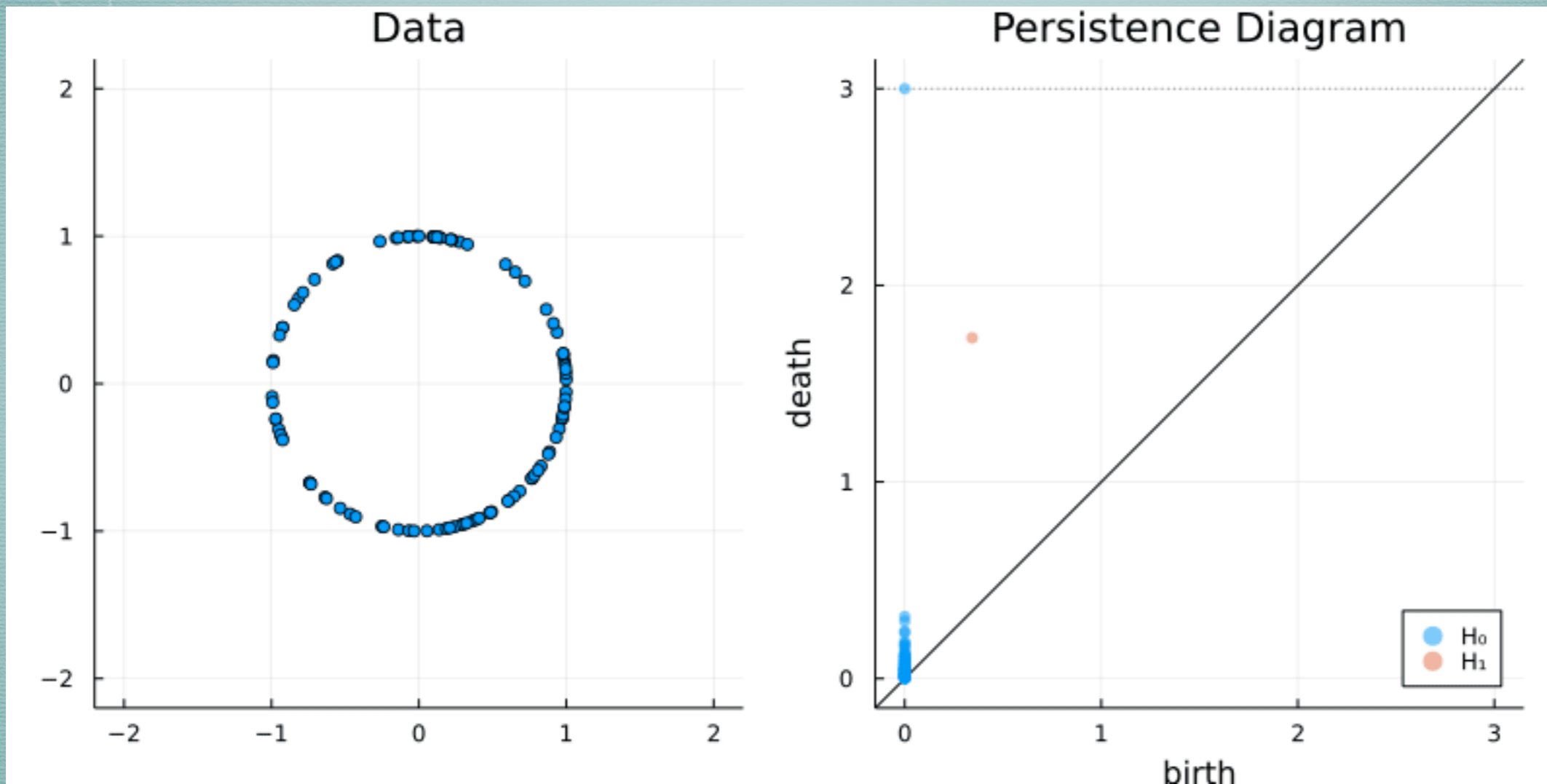
# What to expect from a PD?

**Expectations:** Given a space  $X$  and a fairly good approximation  $S$ , PH via Rips or Cech complexes looks as follows:

- Initially a lot of 0-bars (discrete set) for very small scales
- Homology of  $X$  at certain intermediate scales
- Only one 0-bar at infinity (contractibility)
- Mysterious homology in between.

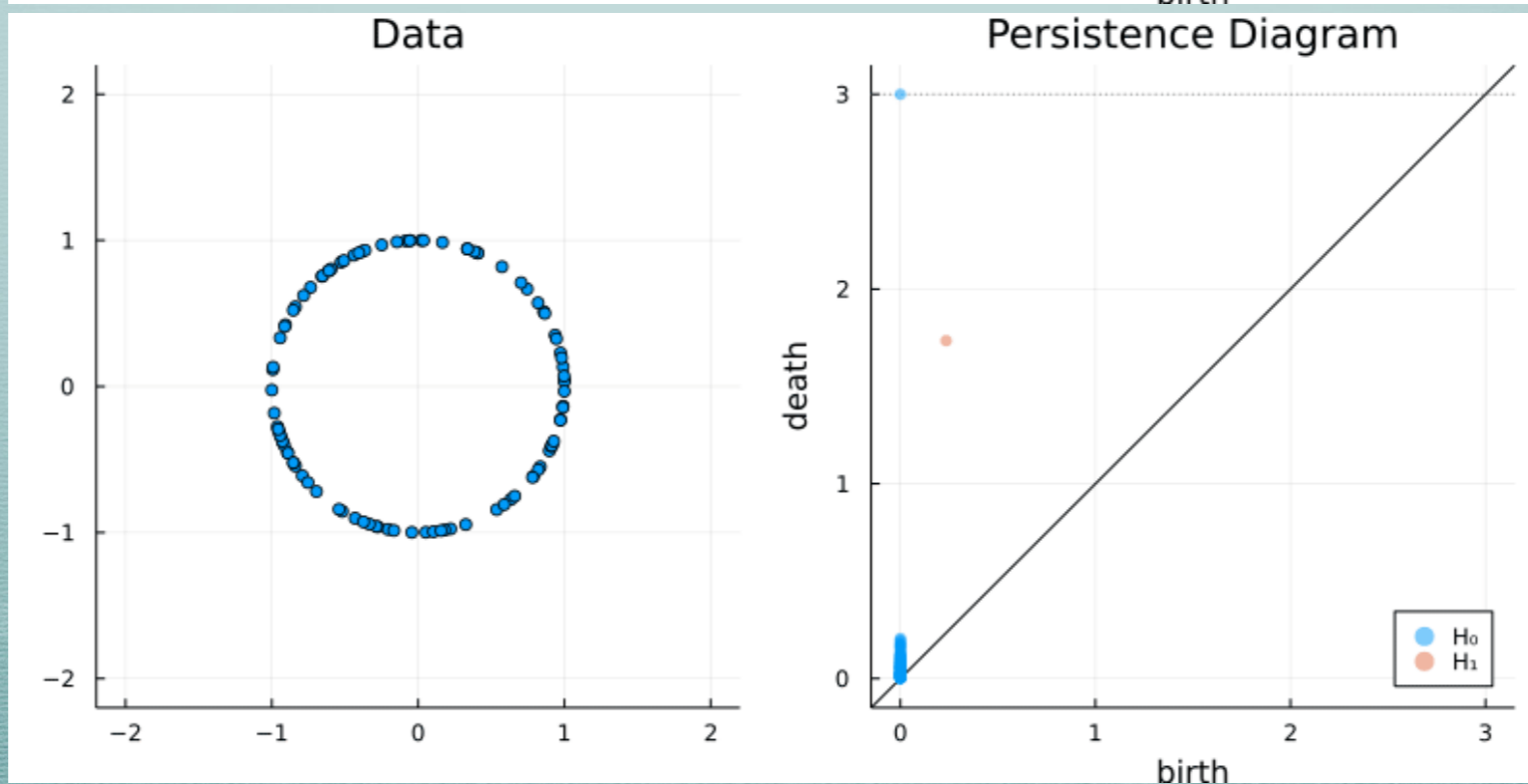
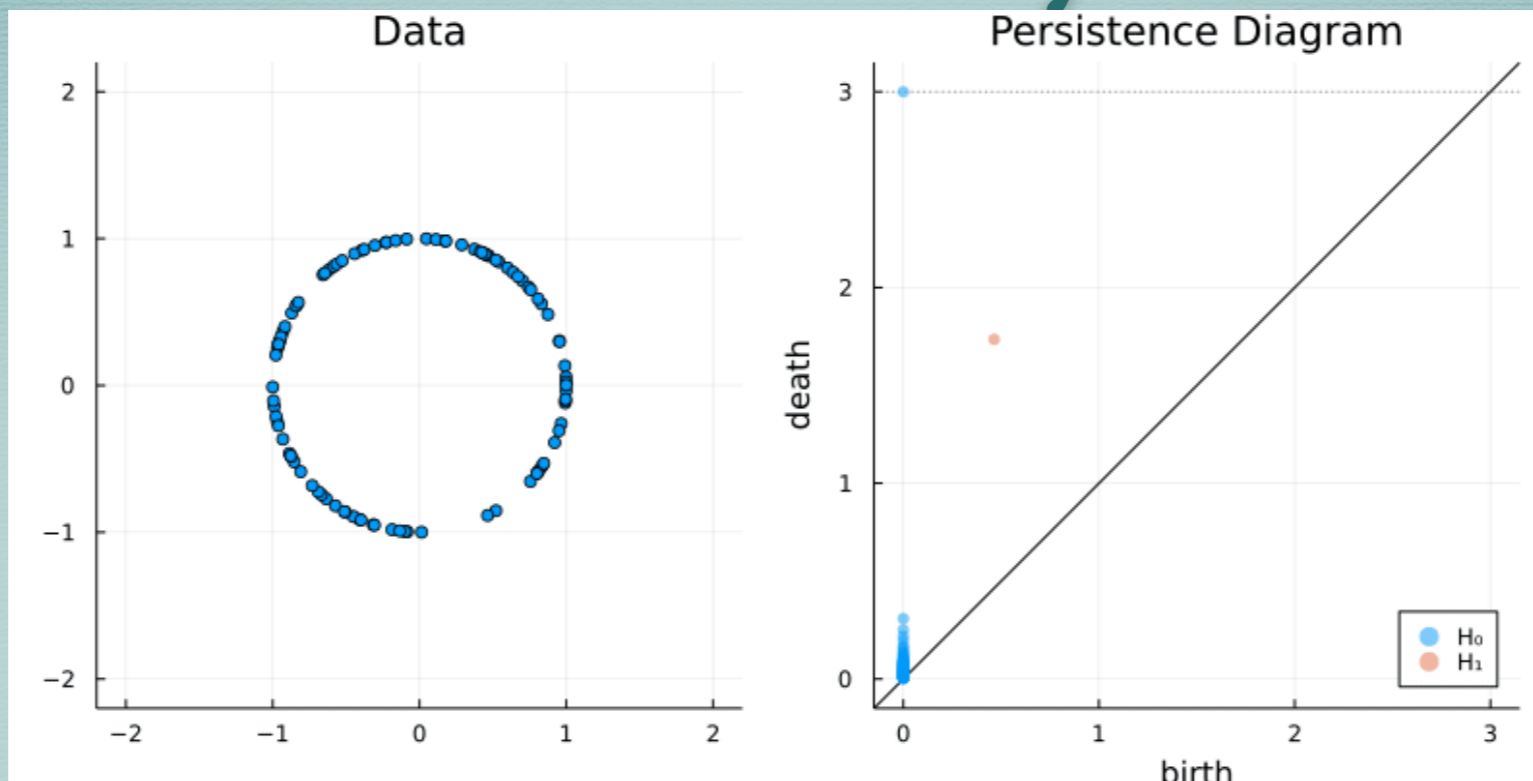
# Stability

# Stability

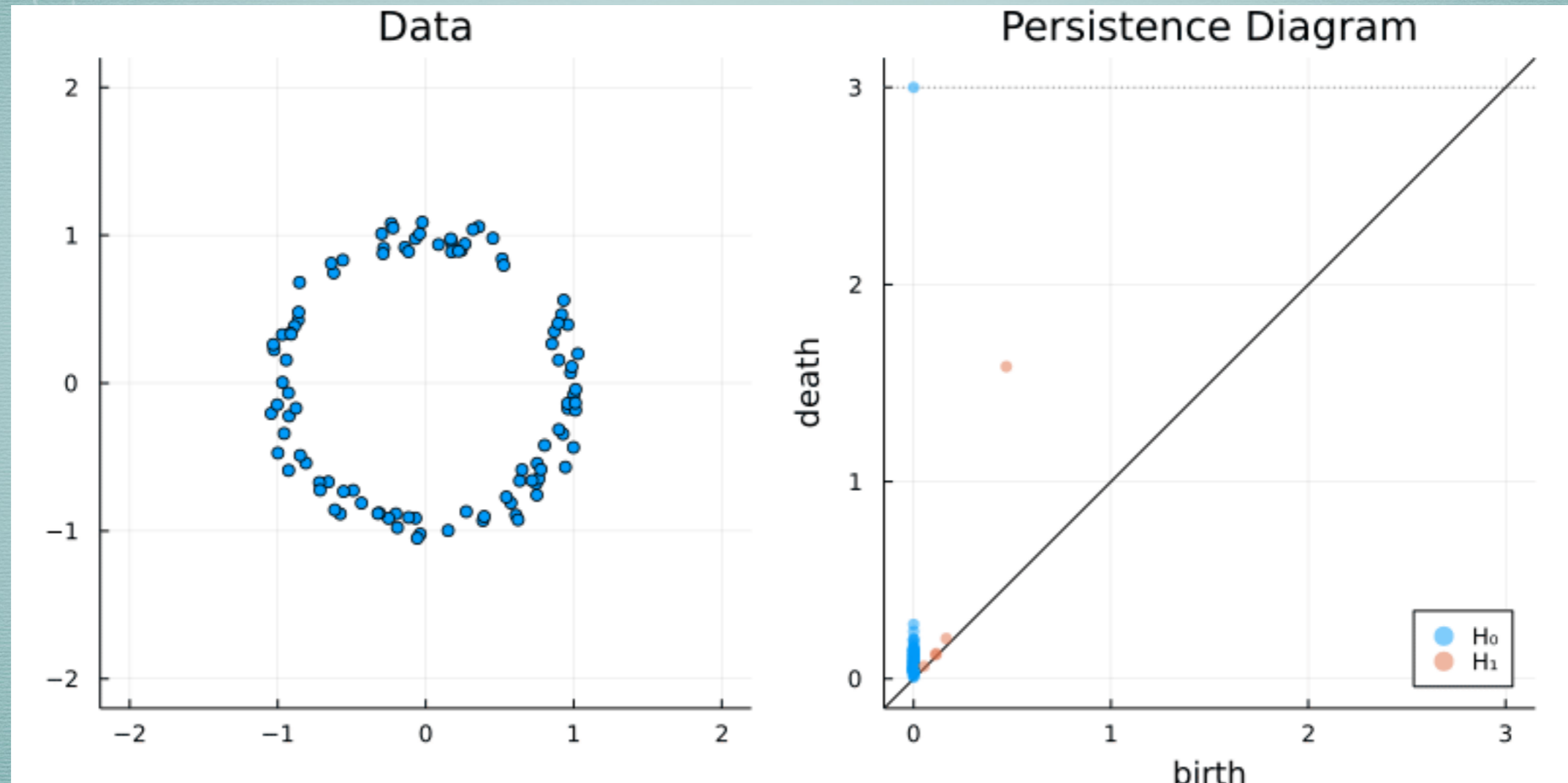


Generated using Ripserer.jl by Matija Čufar

# Stability

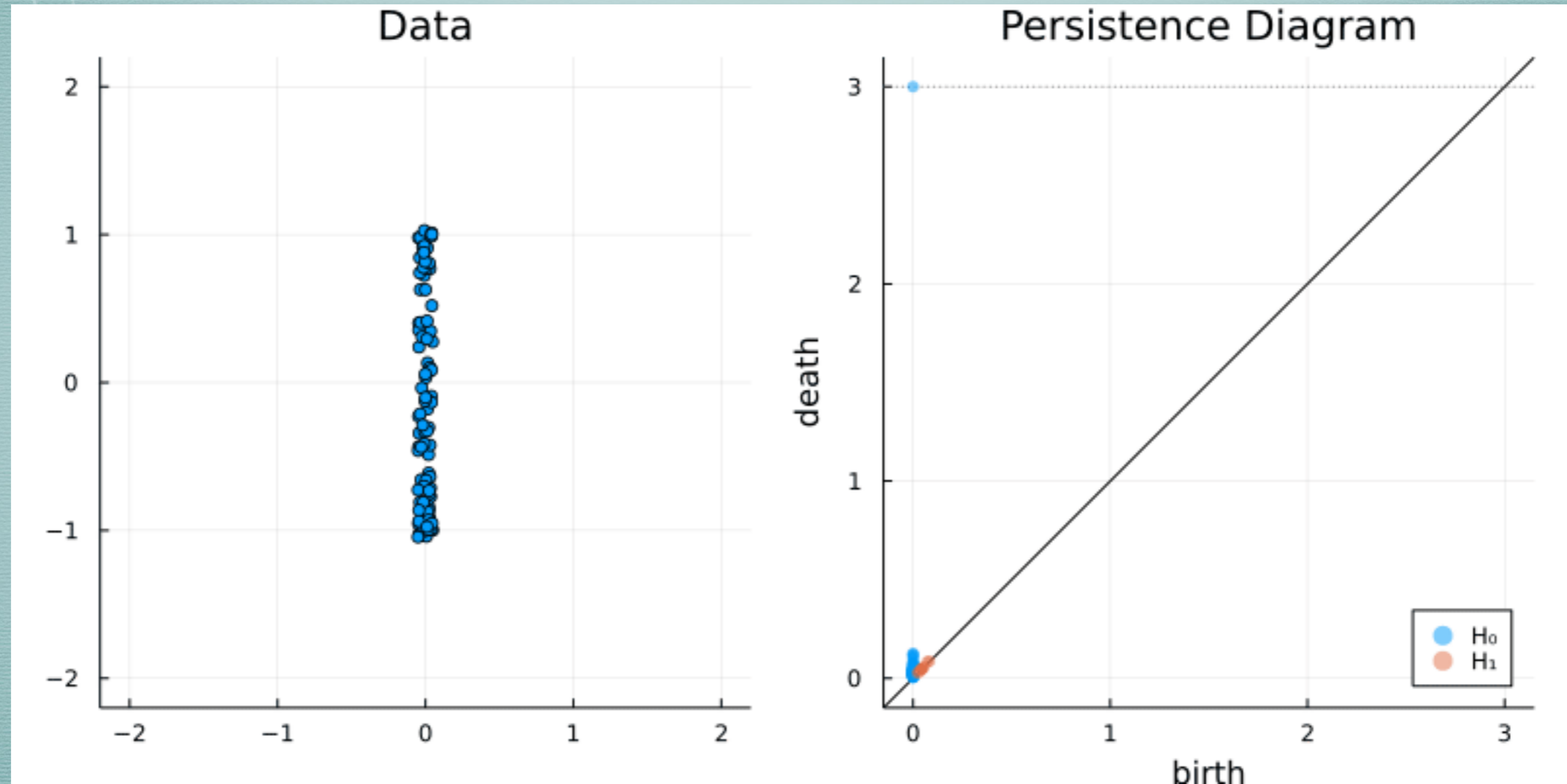


# Stability



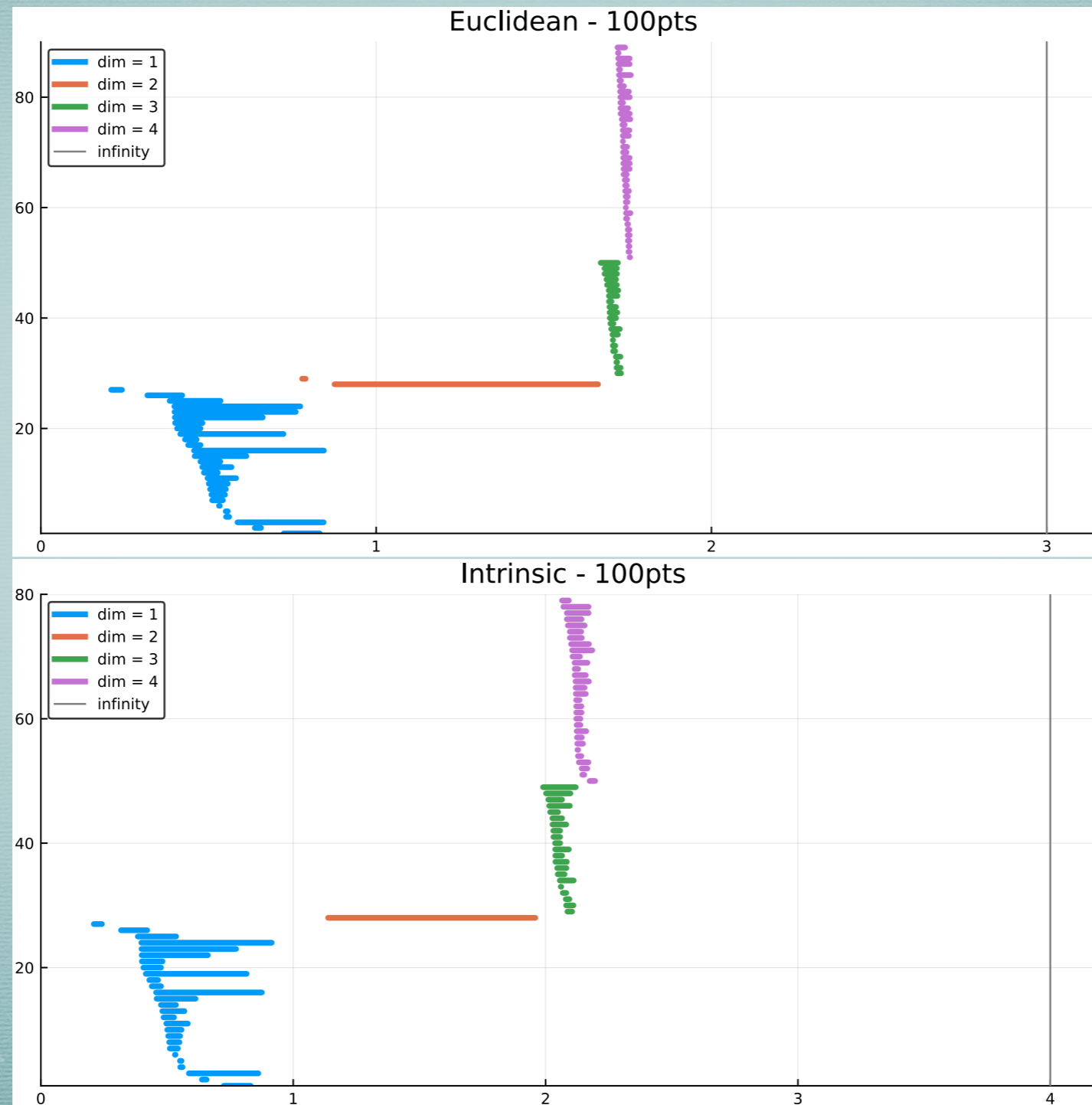


# Stability

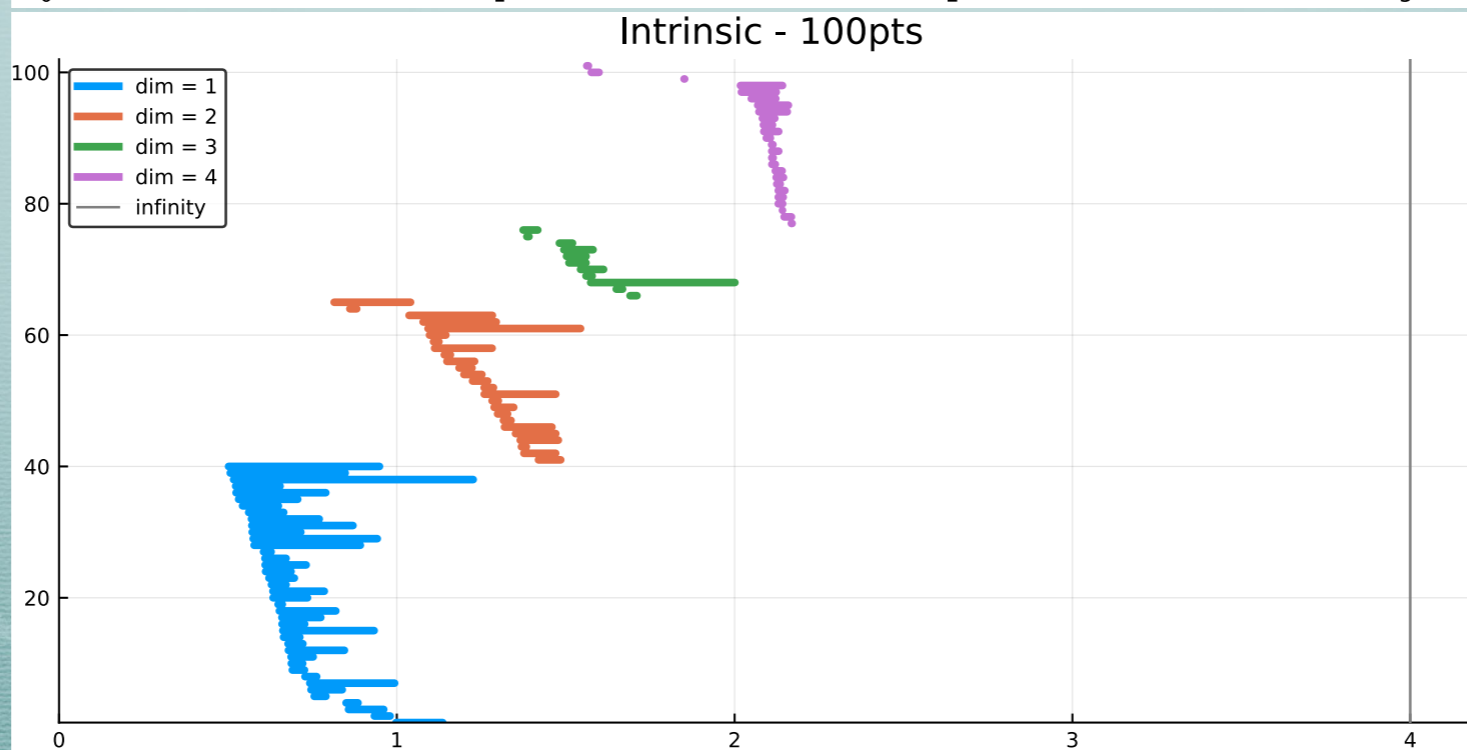
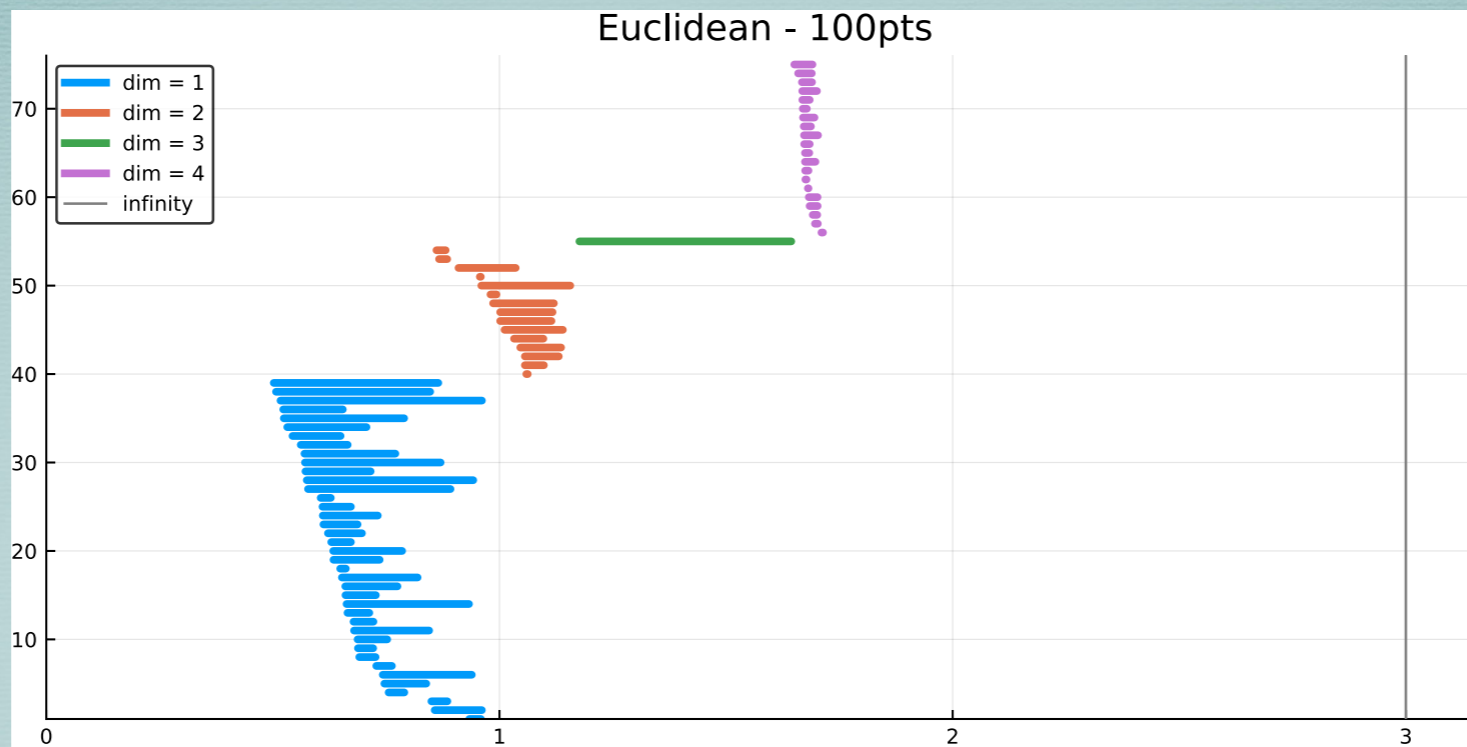


# Examples

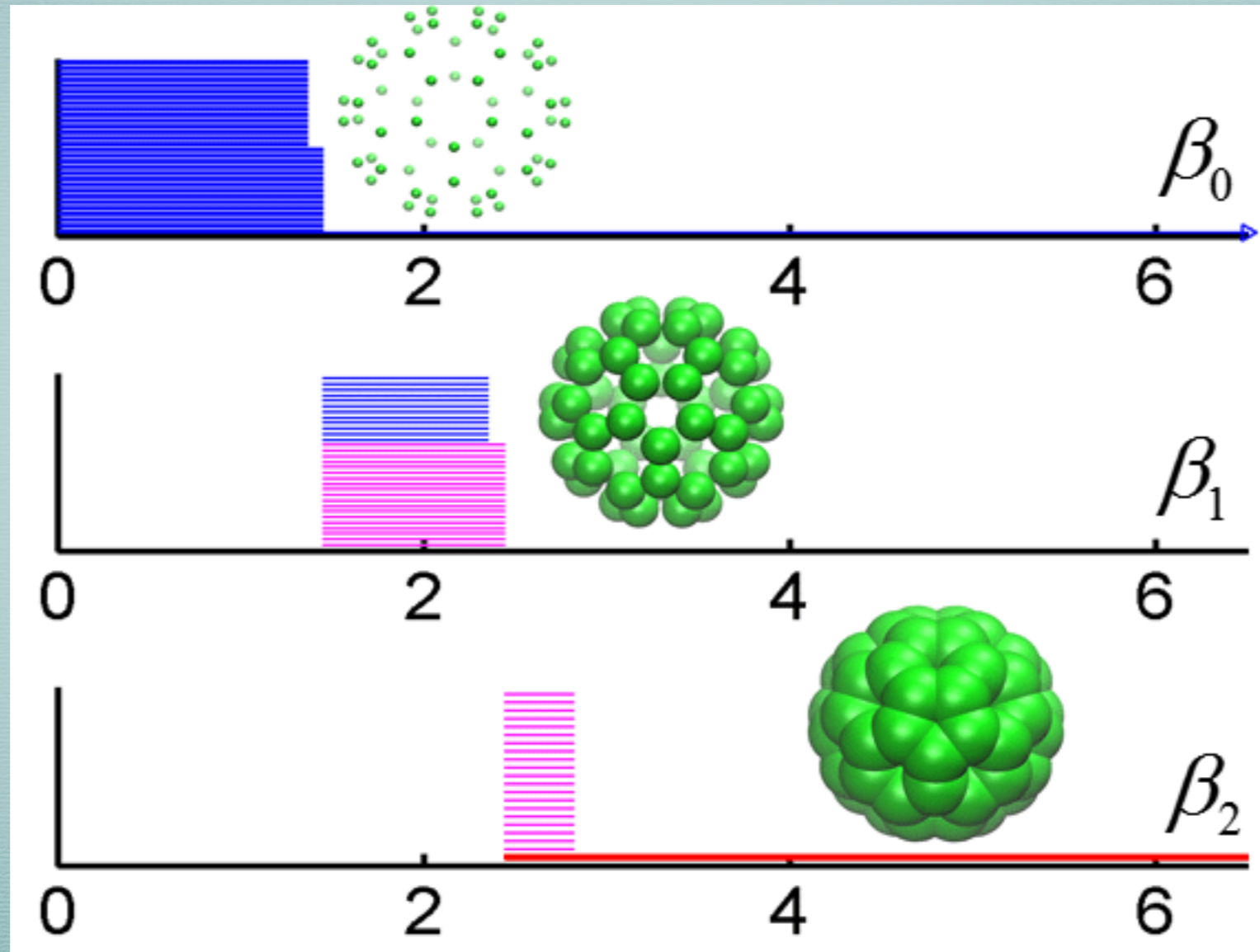
# Examples of PH: $S^2$



# Examples of PH: $S^3$



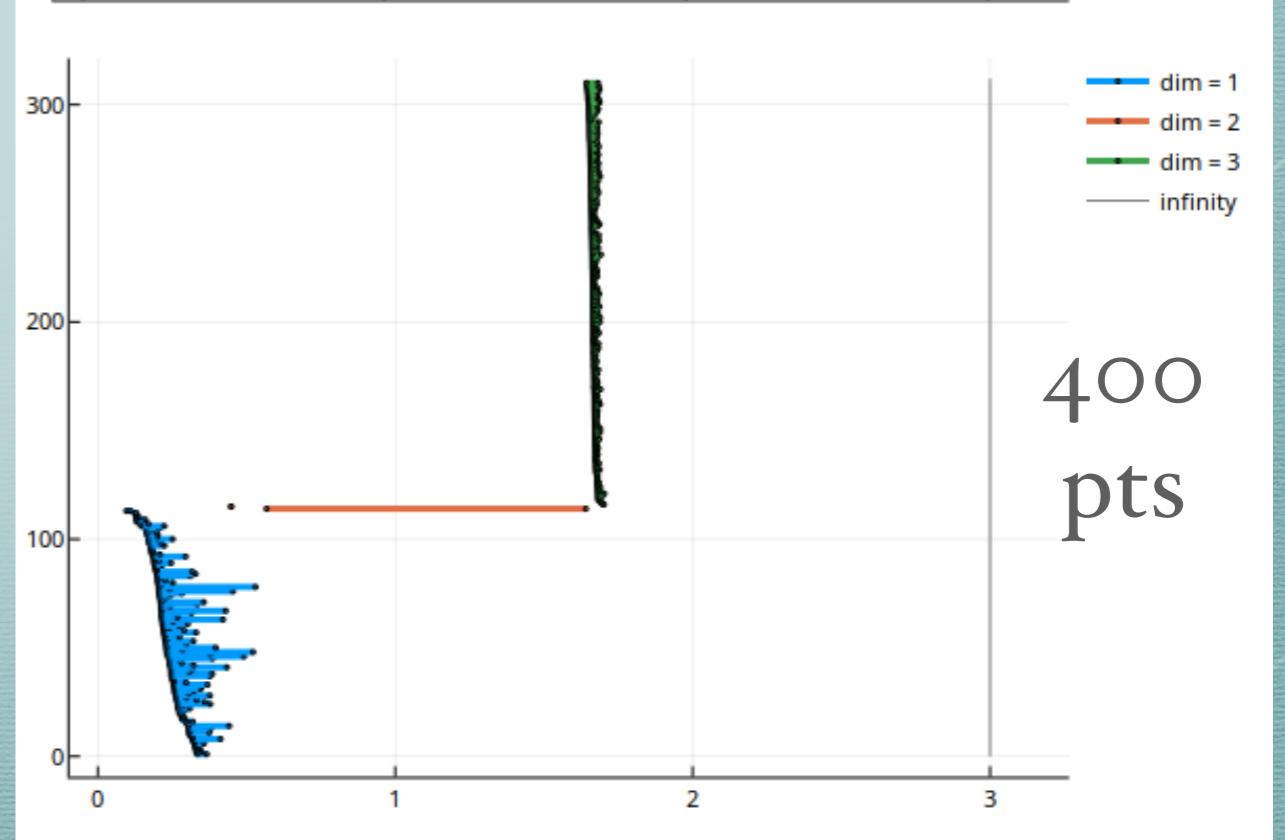
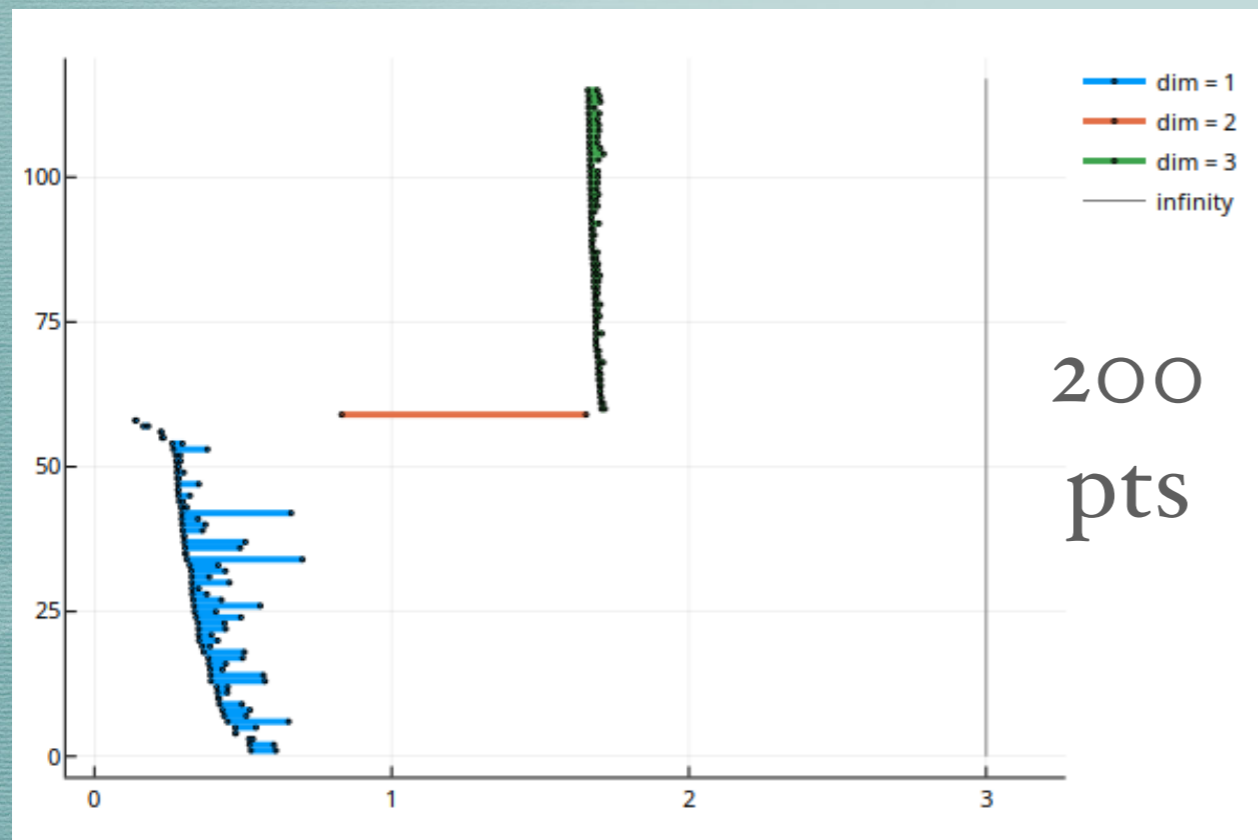
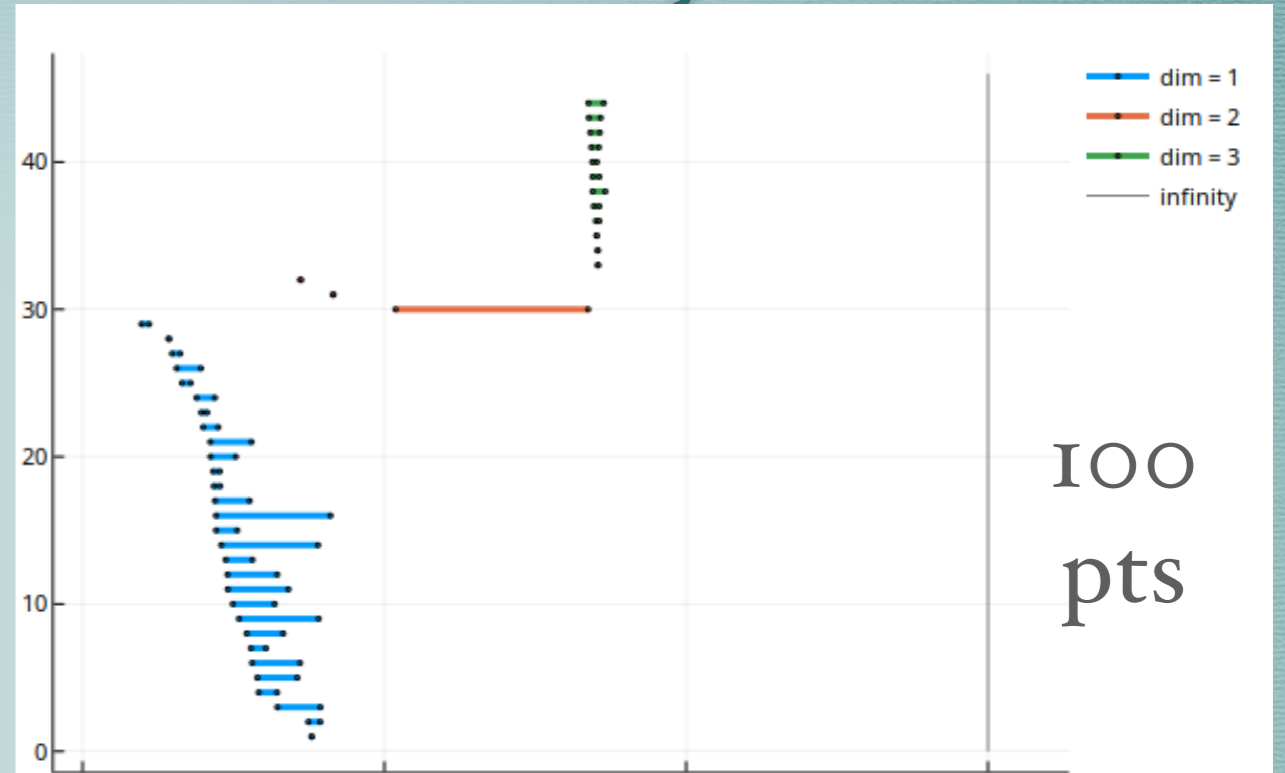
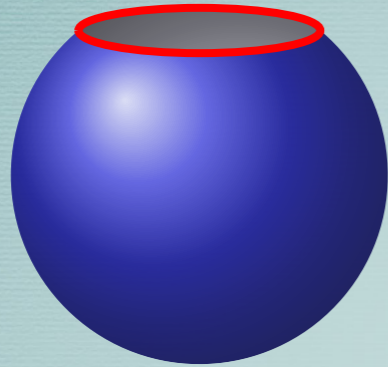
# Persistence diagrams



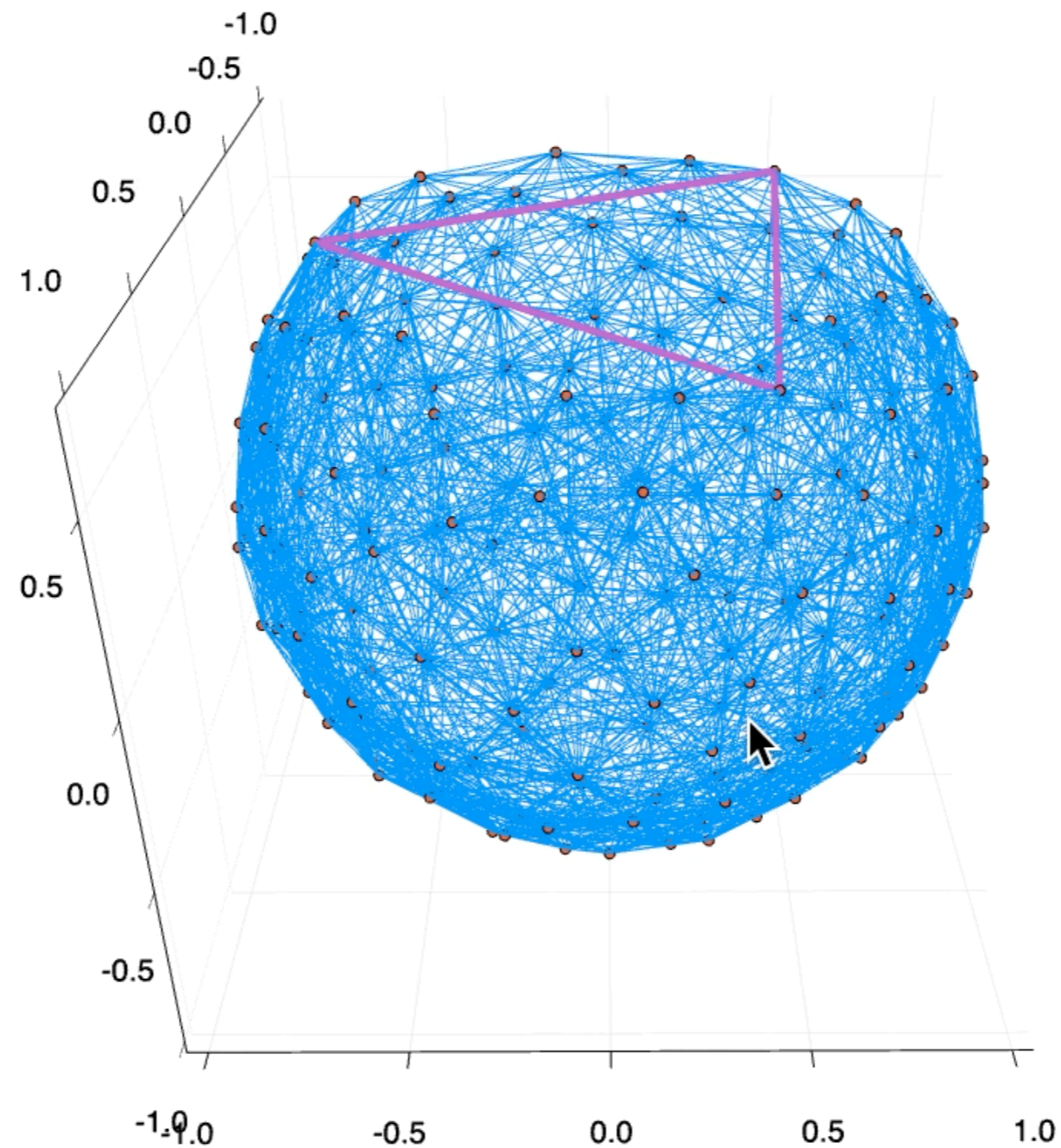
Fluoren  $C_{60}$

Xia, Wei: A review of geometric, topological and graph theory apparatuses for the modeling and analysis of biomolecular data

# Effects of density



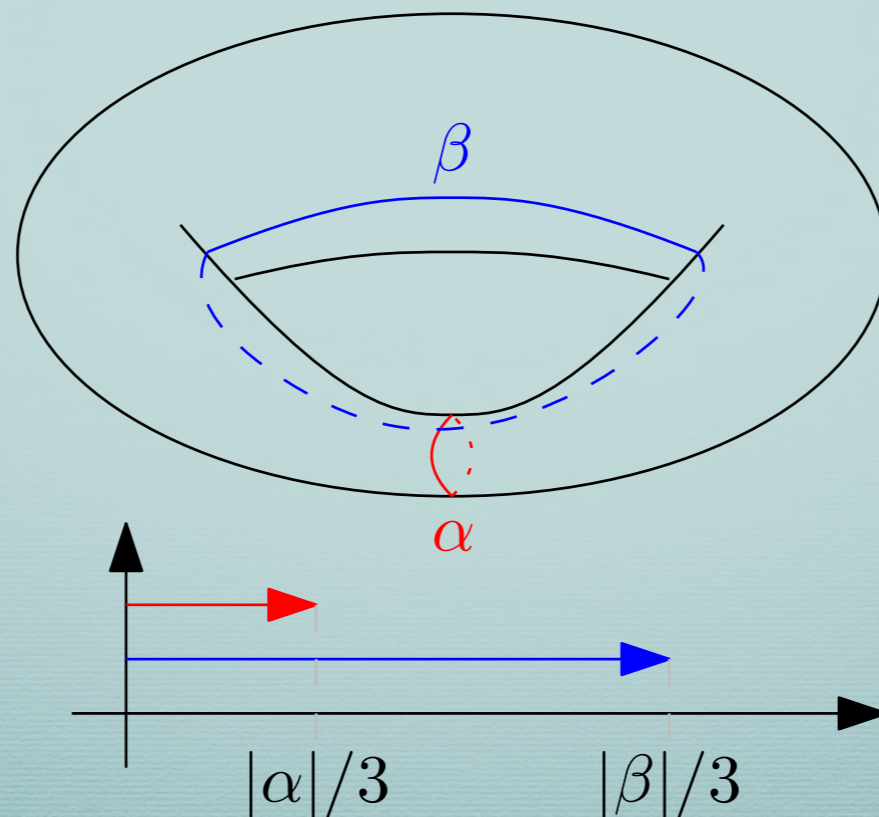
# Critical simplex of a 2-dim class



# 1-dimensional PH of geodesic spaces

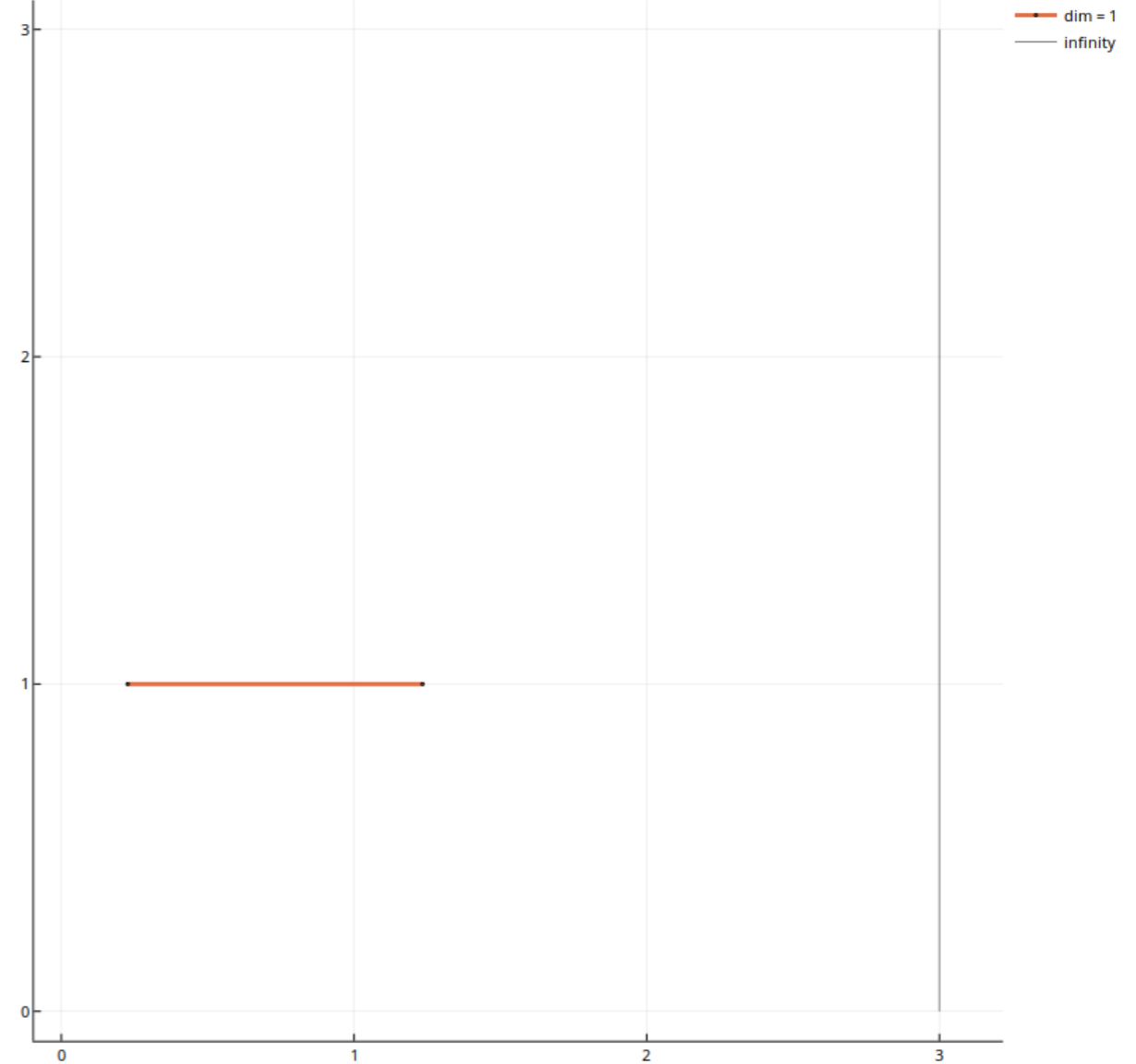
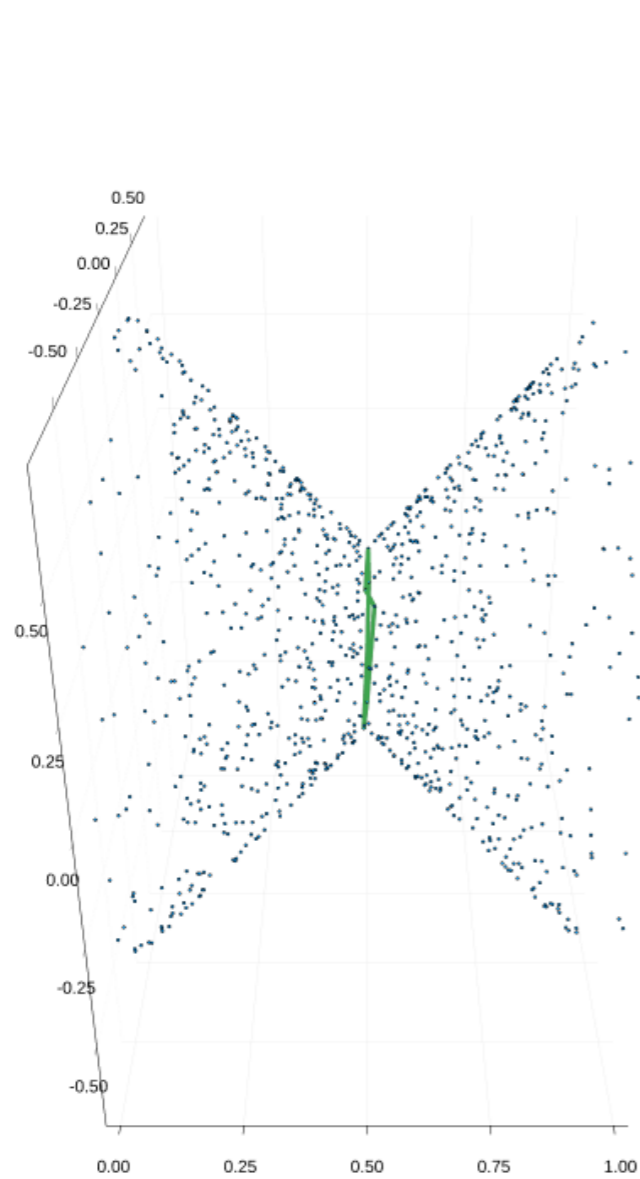
$X$  a geodesic metric space. 1-dim PH looks as follows:

- For each element  $\alpha$  of the shortest homology base we obtain a bar.
- In Rips filtration these bars terminate at  $length(\alpha)/3$ .

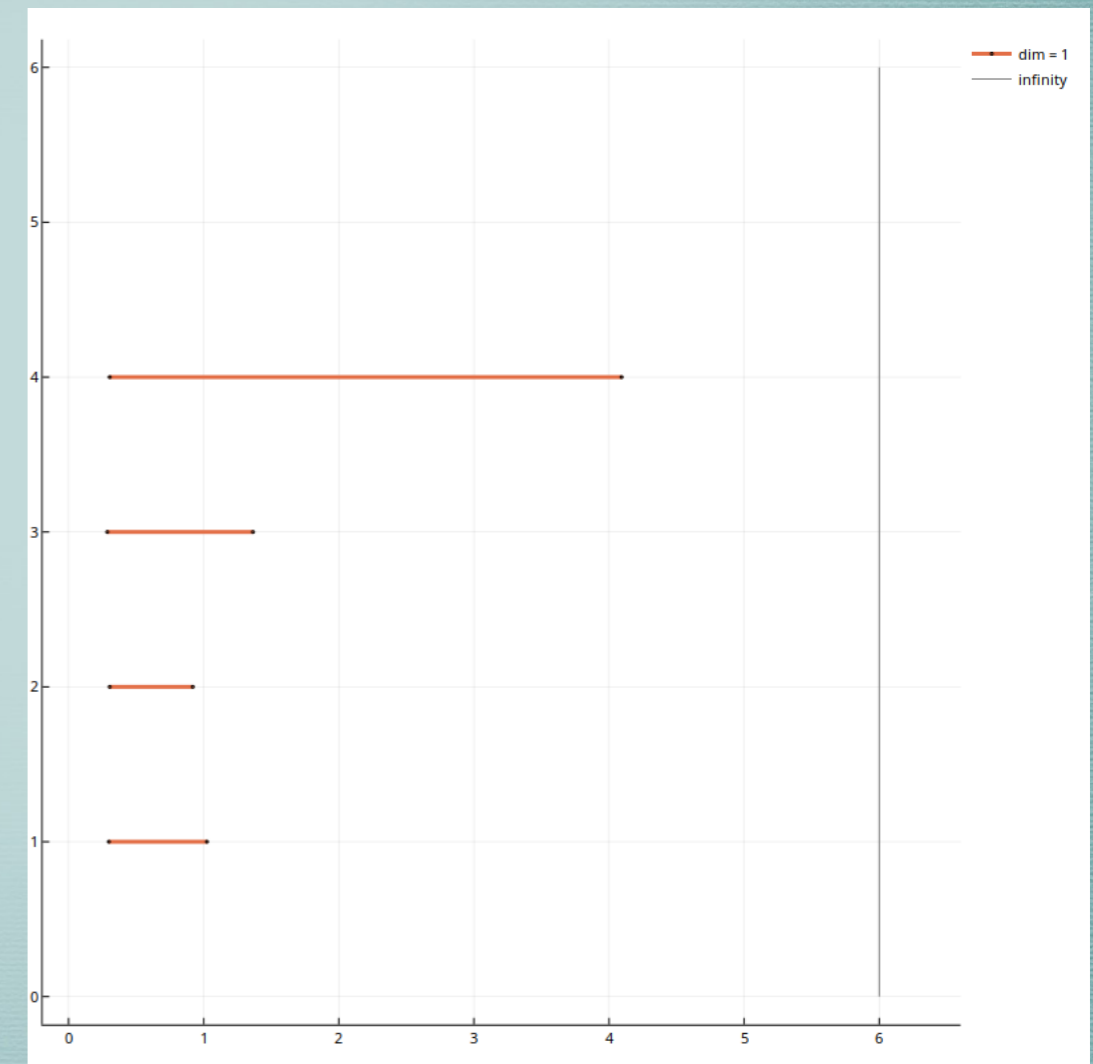
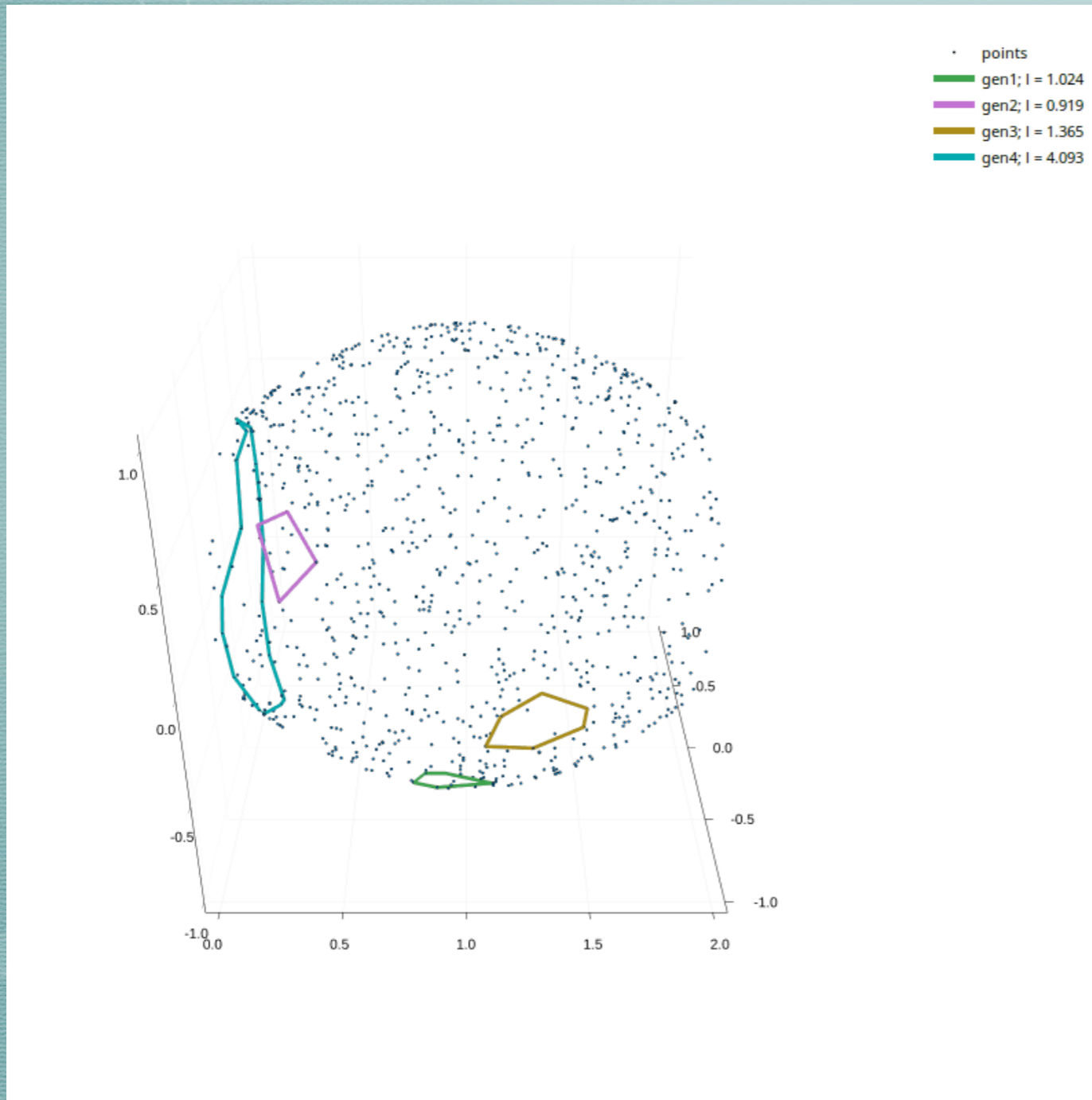




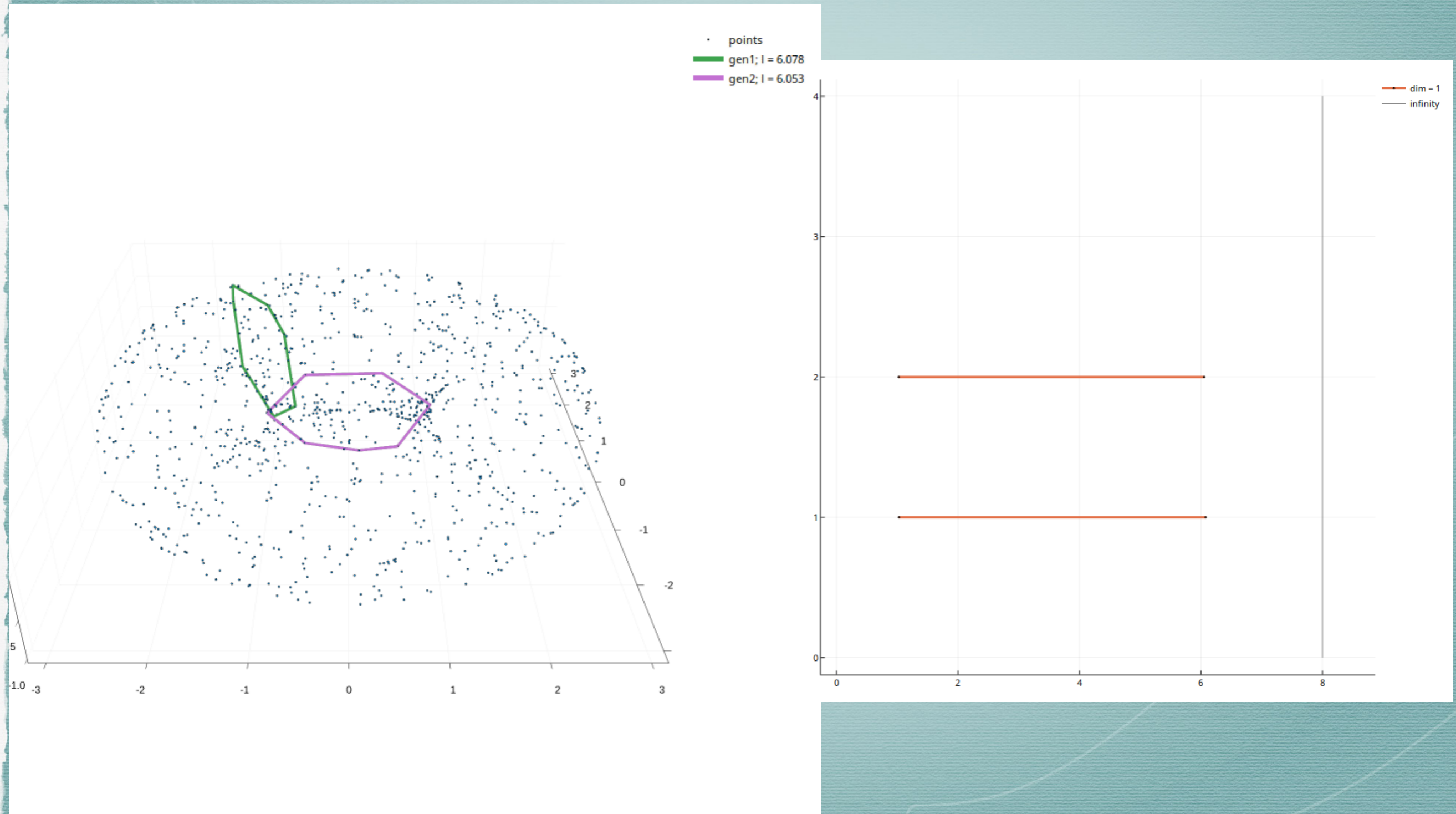
# 1-dimensional PH of geodesic spaces



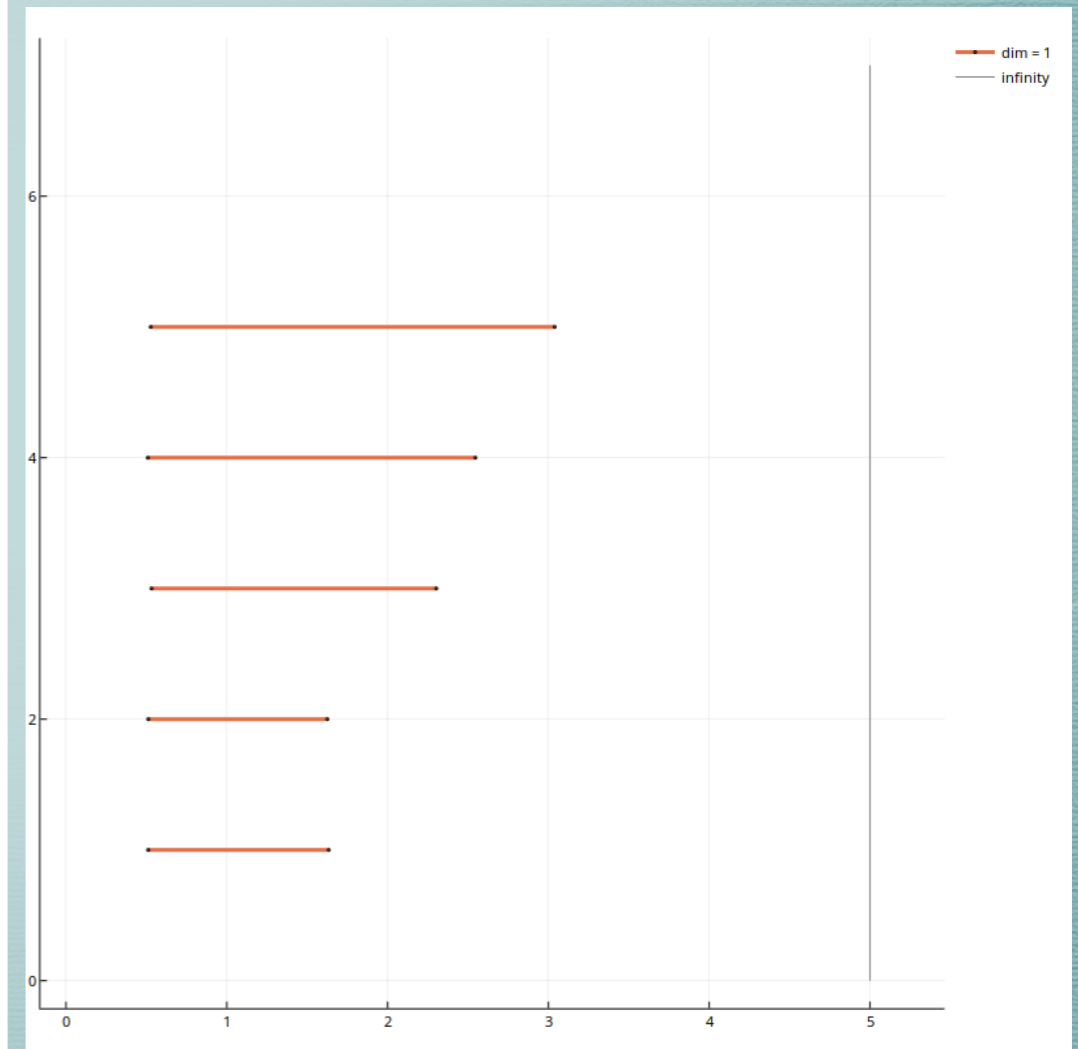
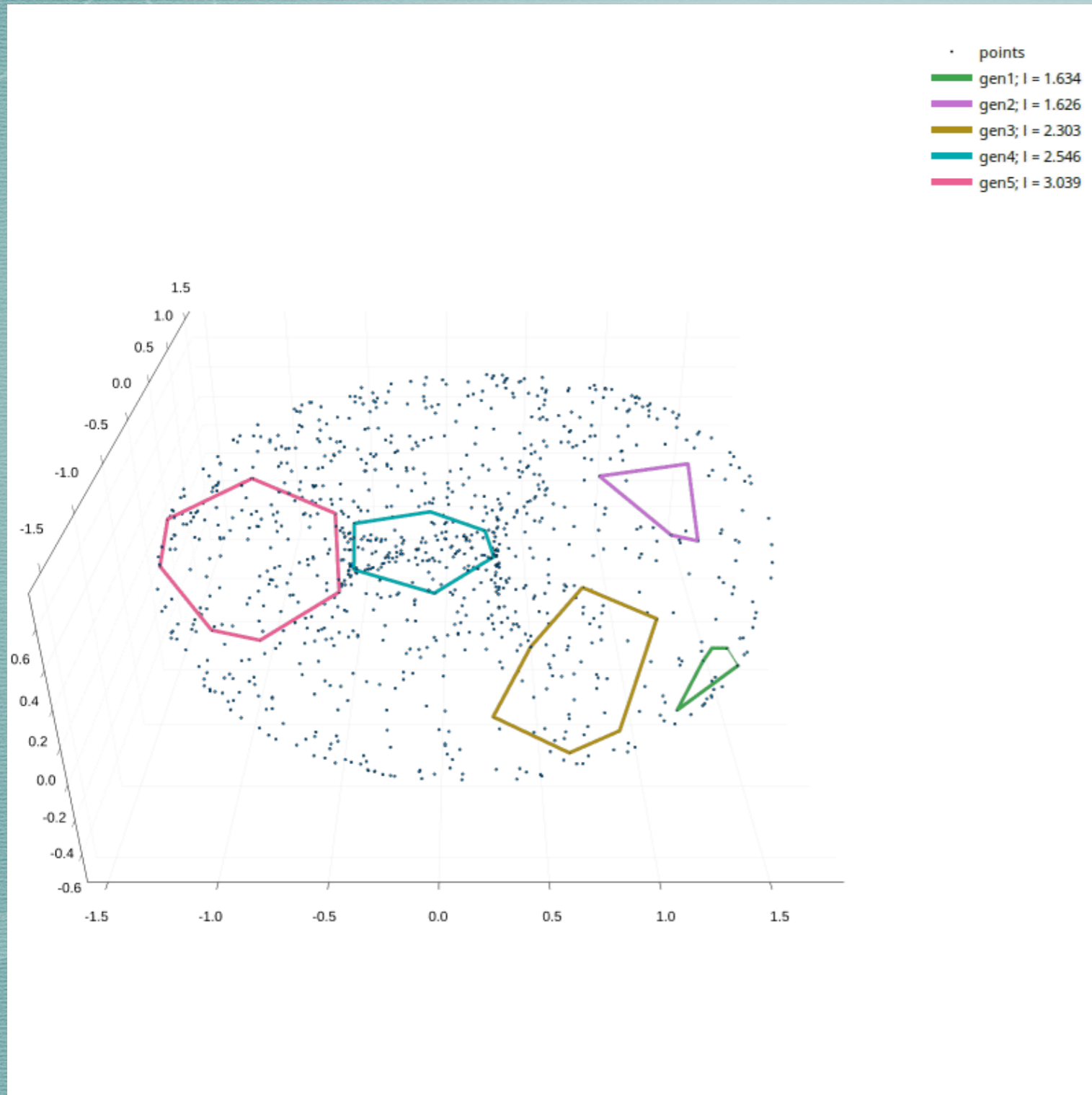
# 1-dimensional PH of geodesic spaces



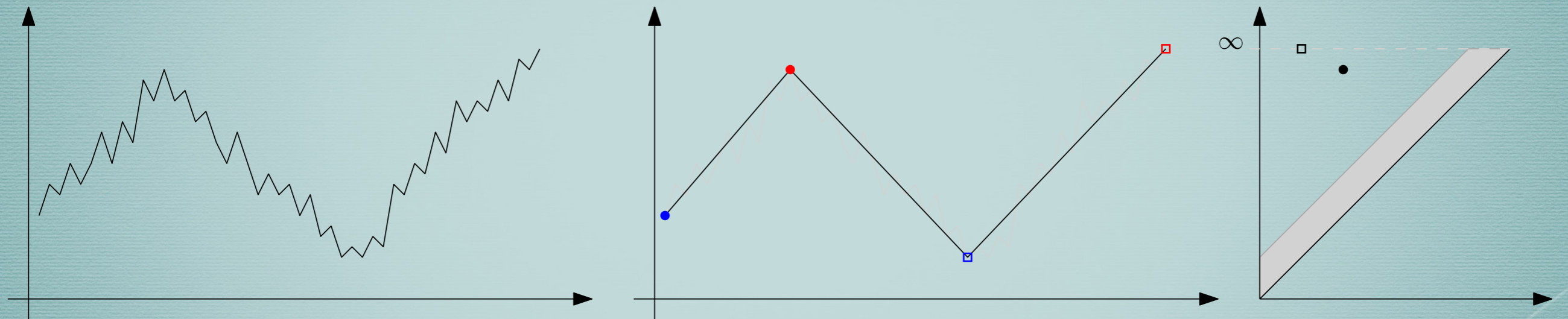
# 1-dimensional PH of geodesic spaces



# 1-dimensional PH of geodesic spaces



# Denoising a function



An application of sublevel 0-dimensional persistence.

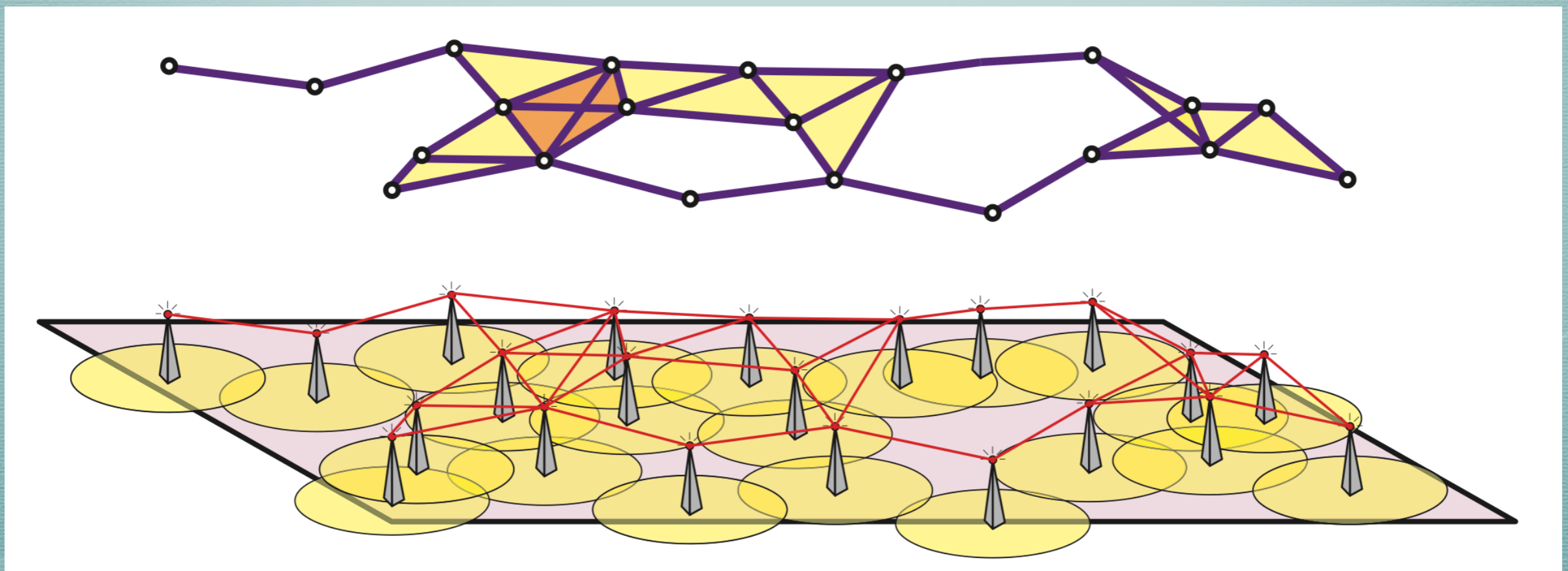
# Applications to data

# Sensor coverage

Coordinate free sensors.

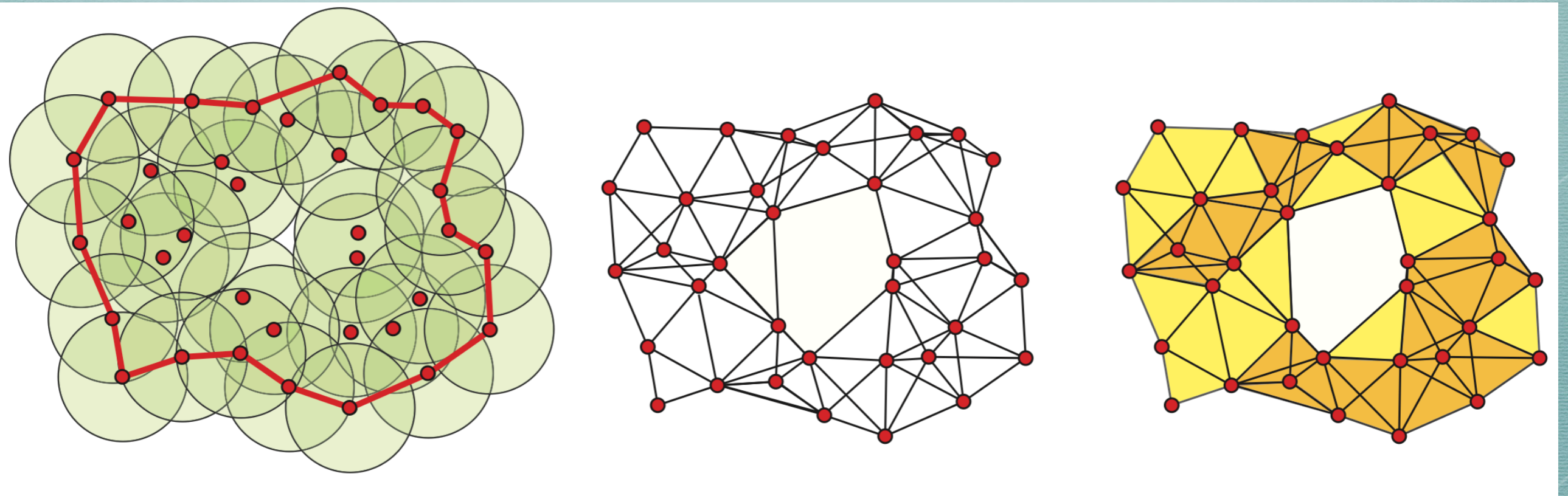
Sensors only detect neighbors in broadcast radius  $r_B$ .

Sensors cover ball of covering radius  $r_c > r_b/\sqrt{3}$ .



# Sensor coverage

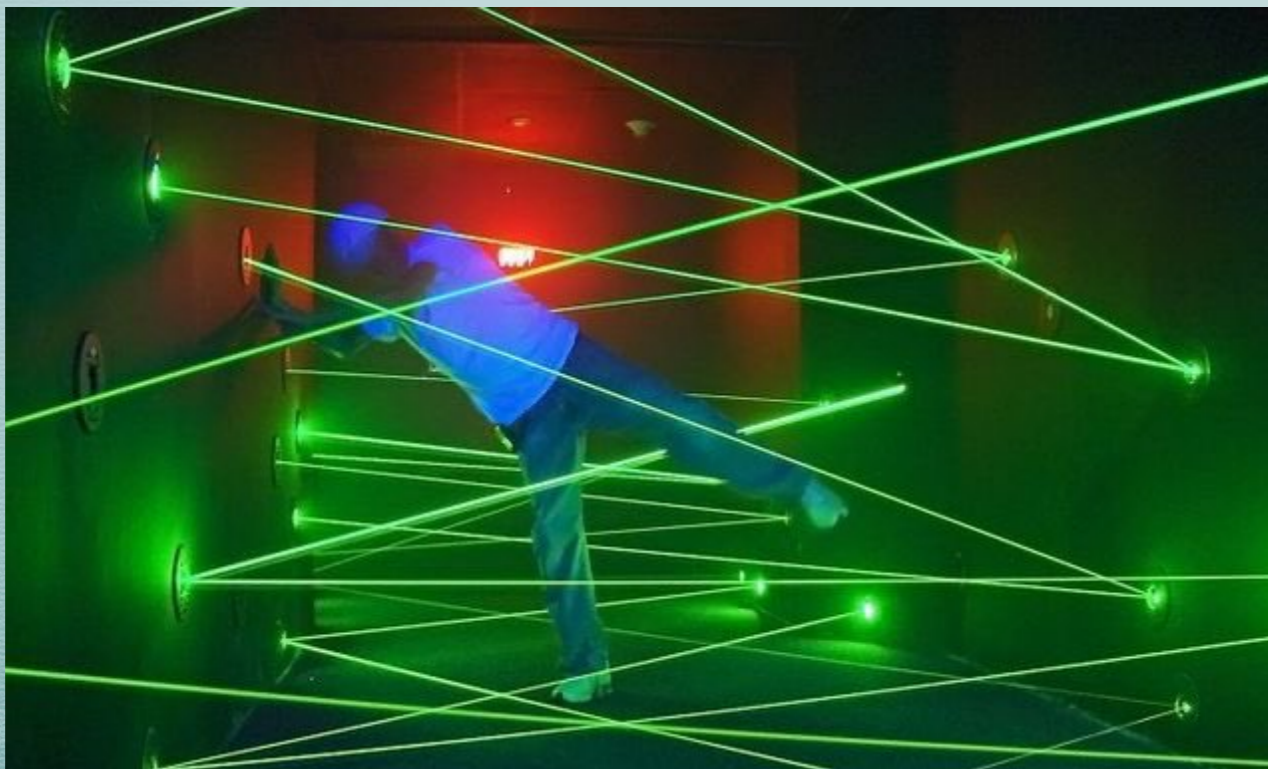
If sensors  $S$  cover the boundary (fence), then they cover the interior if  $H_1(\text{Rips}(S, r)) = 0$ .





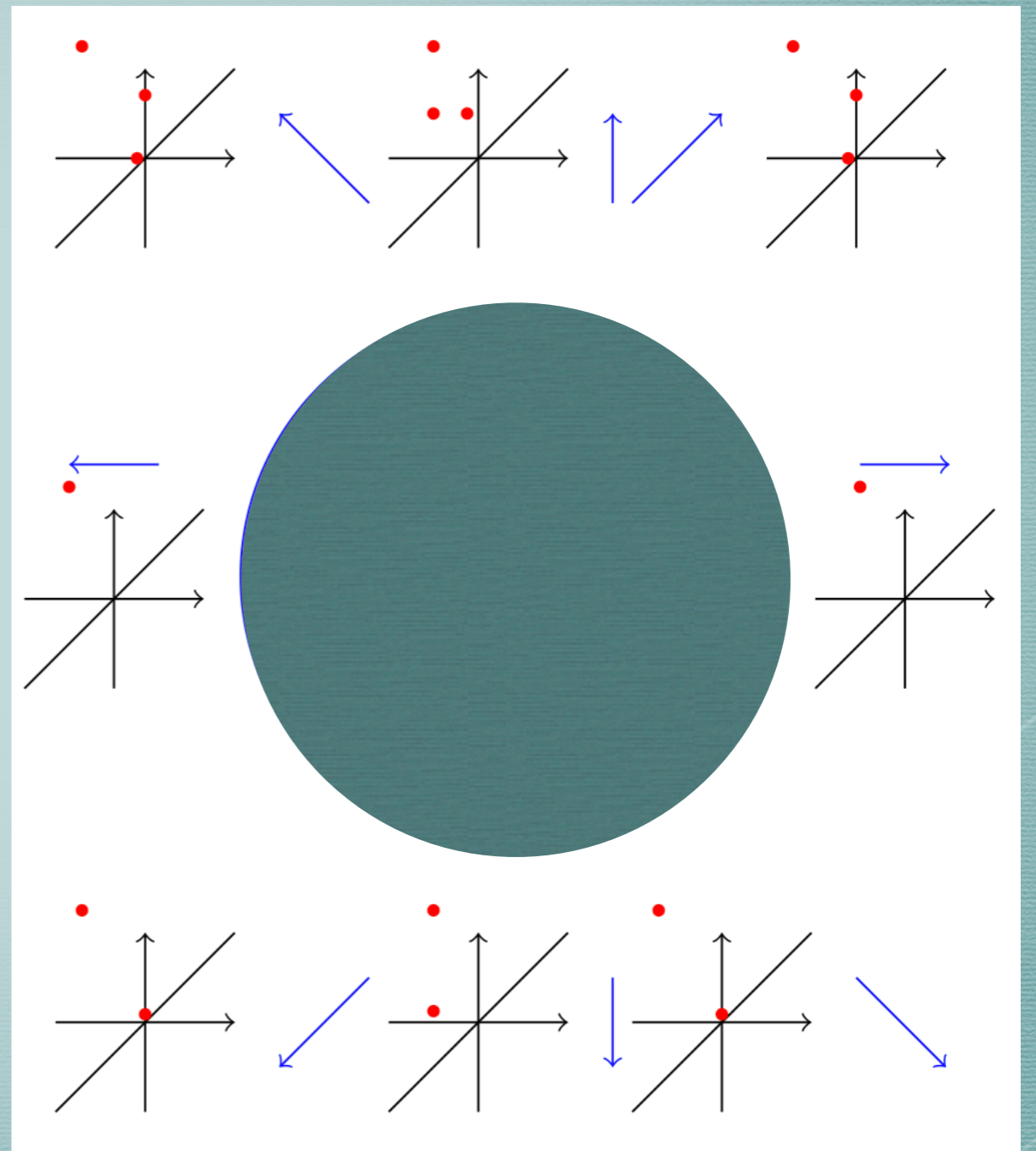
# Sensor coverage

- (Persistent) homological criteria also exist for:
- Coverage with dynamic sensor network
  - Intruder detection (weaker than coverage).

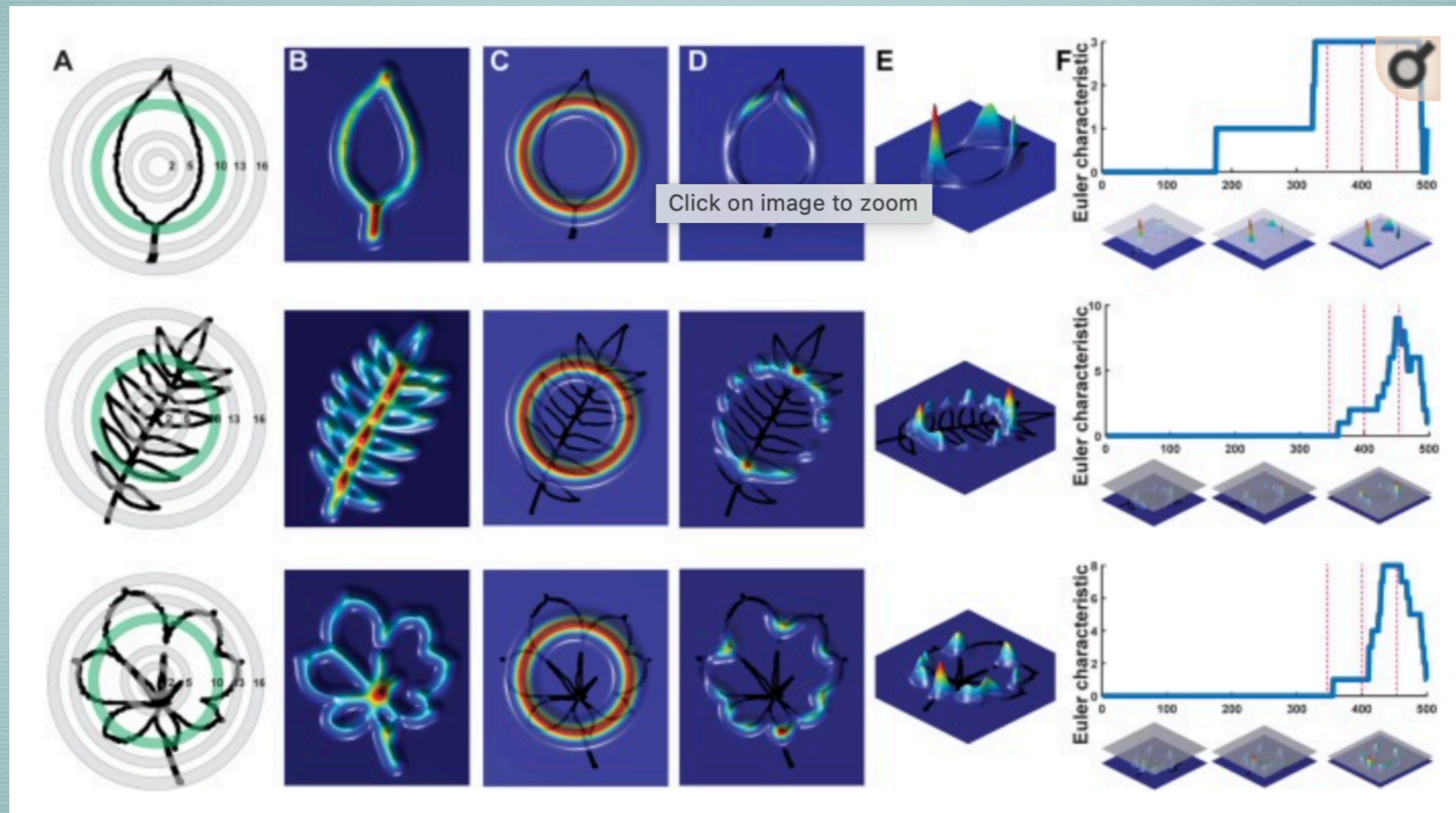


# Directional scanning

- $K \subset \mathbb{R}^2$  be “nice”, compact.
- “Scan”  $K$  along each direction.
- For each  $v \in S^2$  obtain the sublevel diagram  $PD(K, v)$ .
- These scans uniquely determine  $K$ .
- Map  $v \mapsto PD(K, v)$  is called persistent transform.
- Euler transform maps a direction to Euler curve...it is also injective.

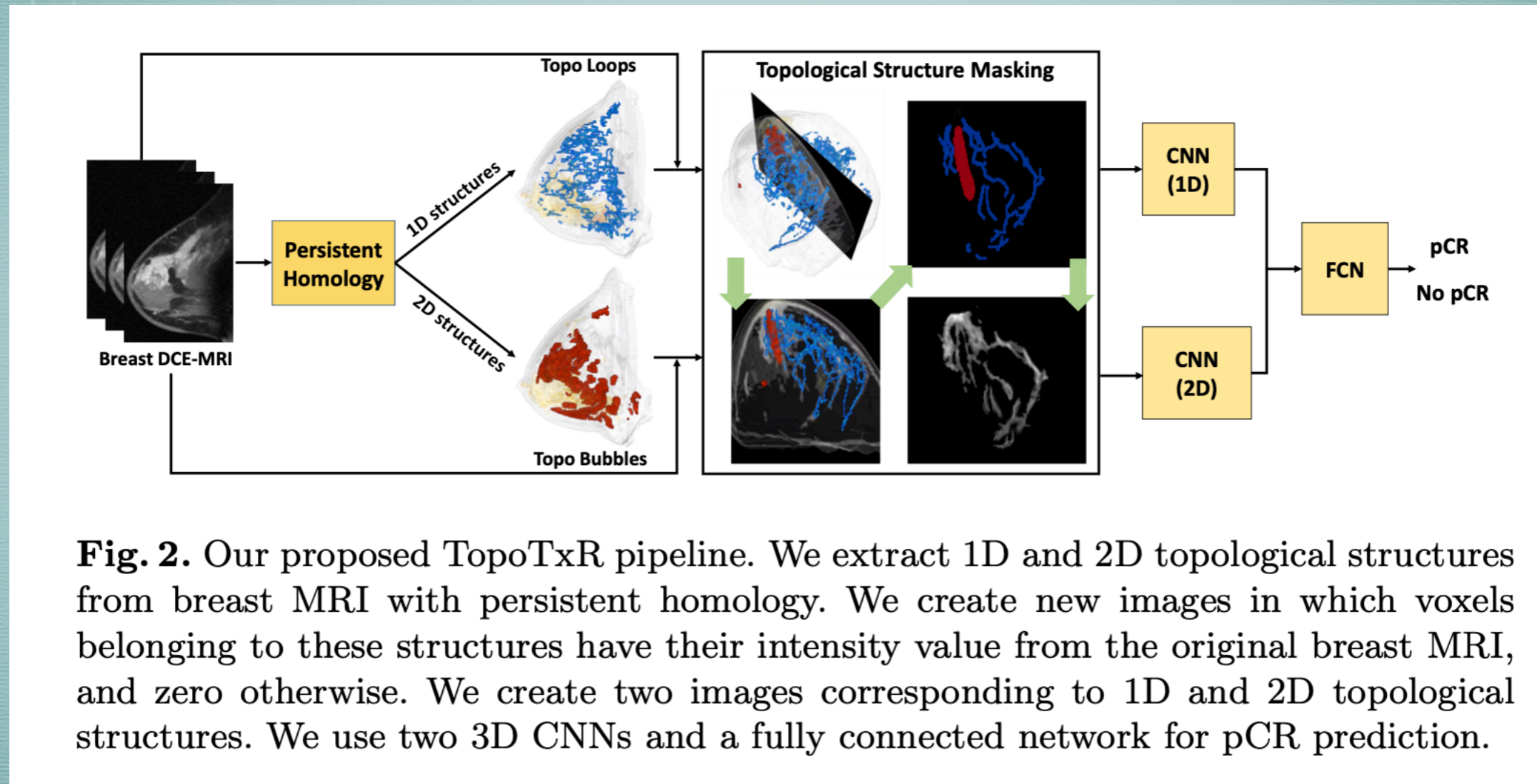


# Classification of leaves



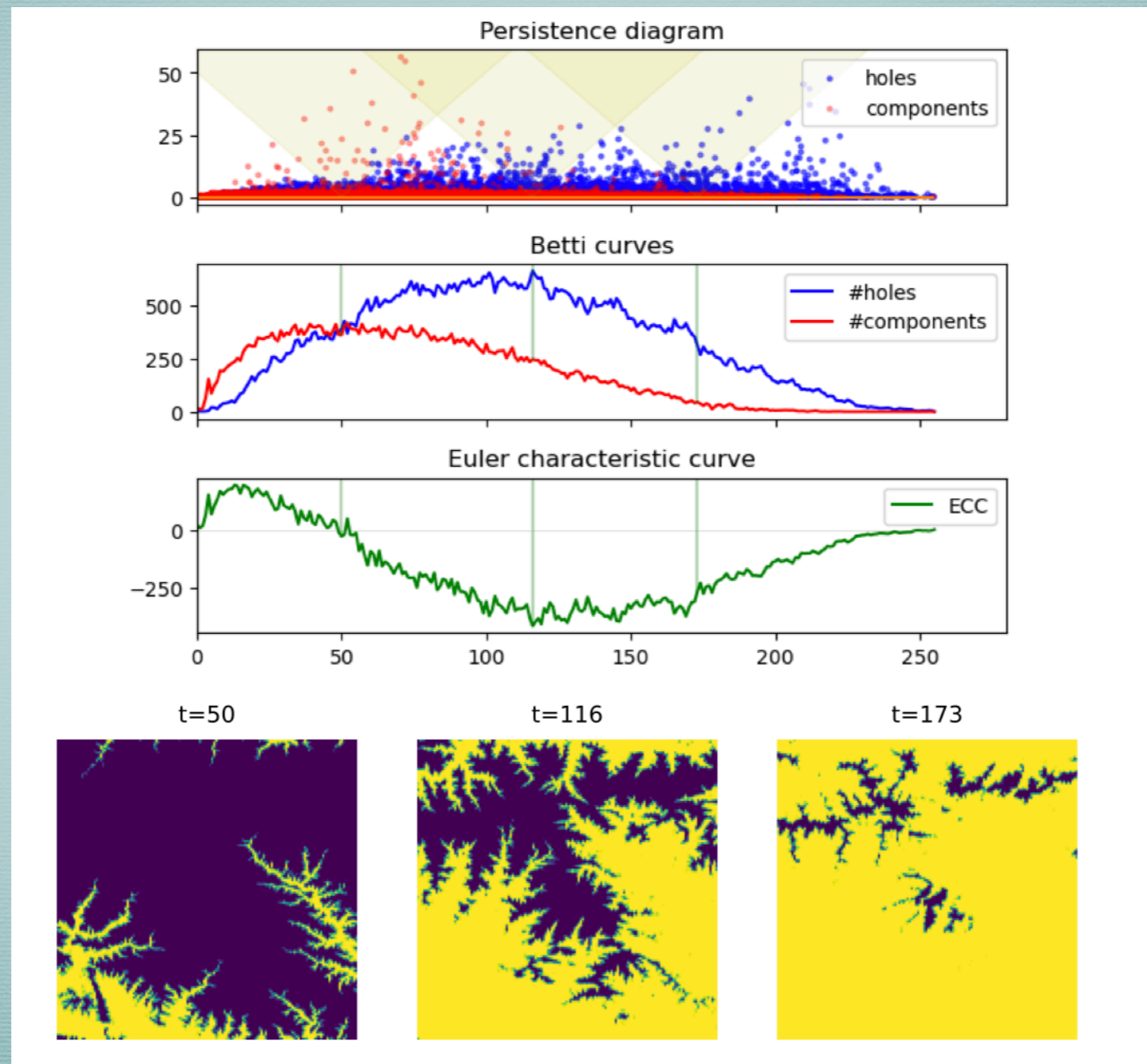
Li M, An H, Angelovici R, et al. Topological Data Analysis as a Morphometric Method: Using Persistent Homology to Demarcate a Leaf Morphospace.

# Preprocessing of medical data

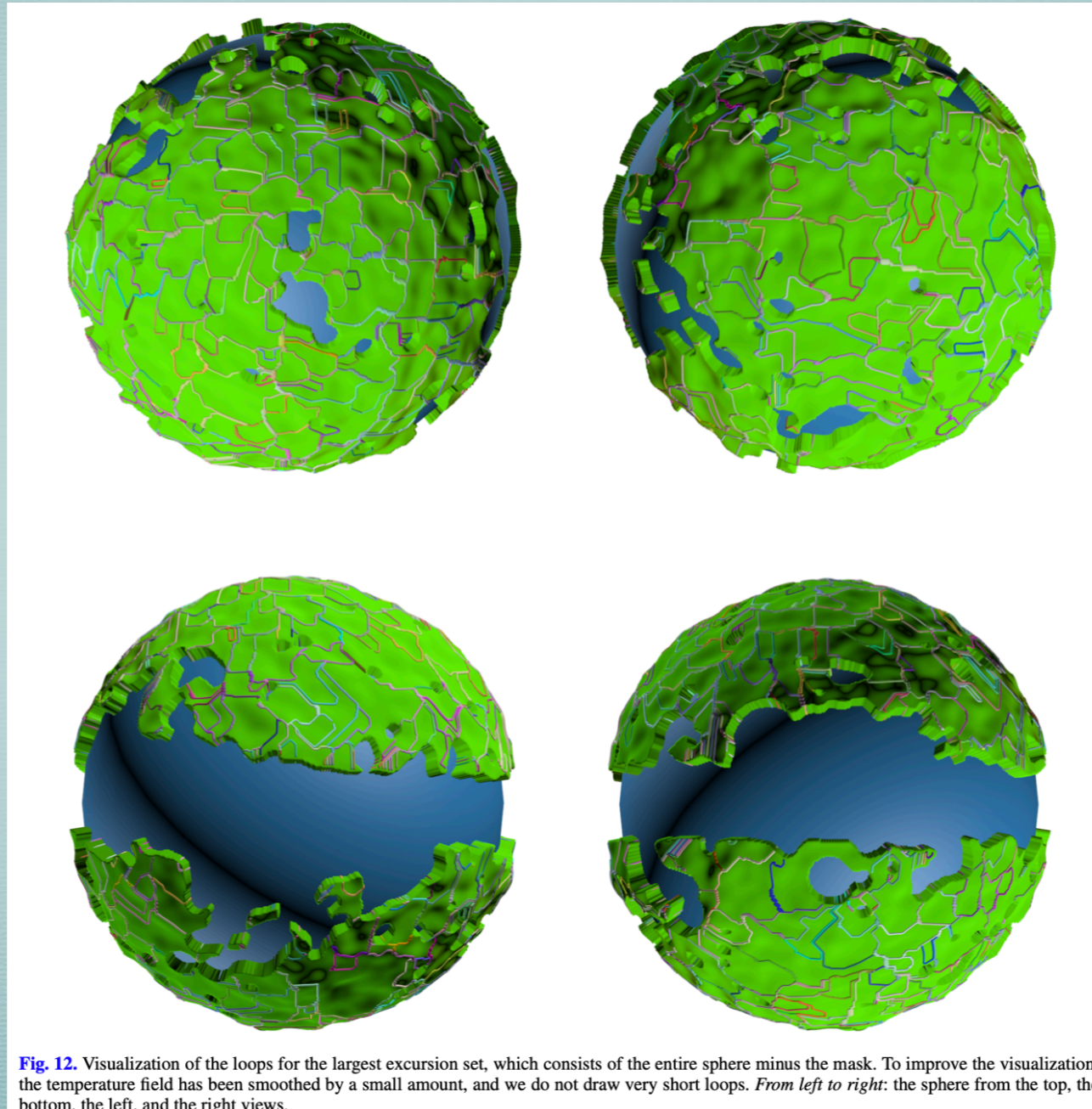


**Fig. 2.** Our proposed TopoTxR pipeline. We extract 1D and 2D topological structures from breast MRI with persistent homology. We create new images in which voxels belonging to these structures have their intensity value from the original breast MRI, and zero otherwise. We create two images corresponding to 1D and 2D topological structures. We use two 3D CNNs and a fully connected network for pCR prediction.

# Derived curves for medical data



# Topology of cosmic web

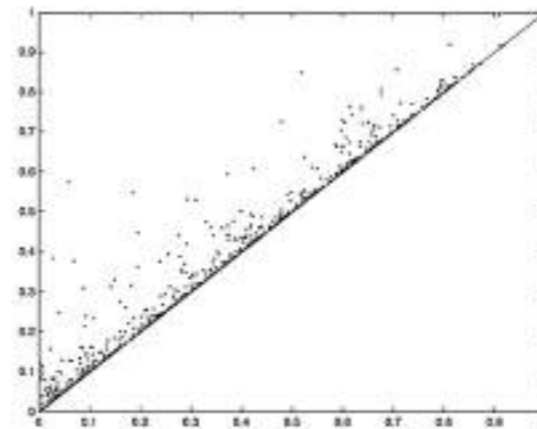


# Topology of artery trees

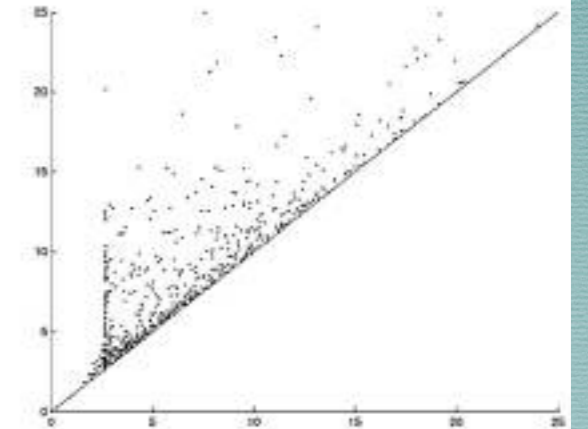
Persistent homology data objects from a 24-year-old.



(a) Brain tree

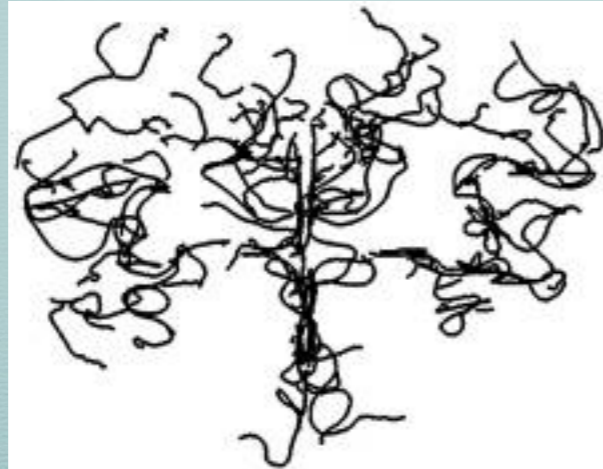


(b) Dgm<sub>0</sub>

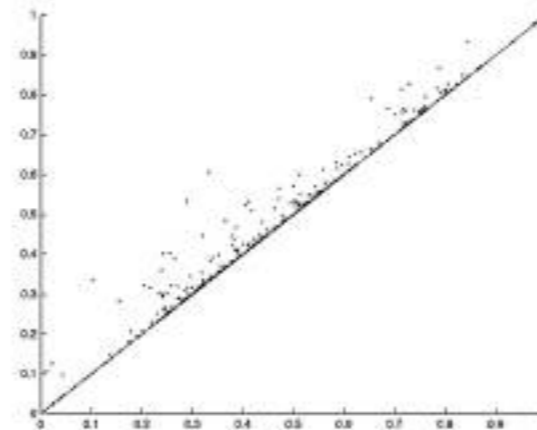


(c) Dgm<sub>1</sub>

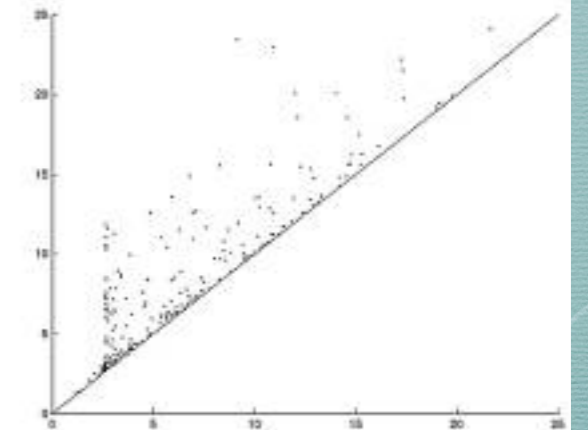
Persistent homology data objects from a 68-year-old.



(a) Brain tree



(b) Dgm<sub>0</sub>

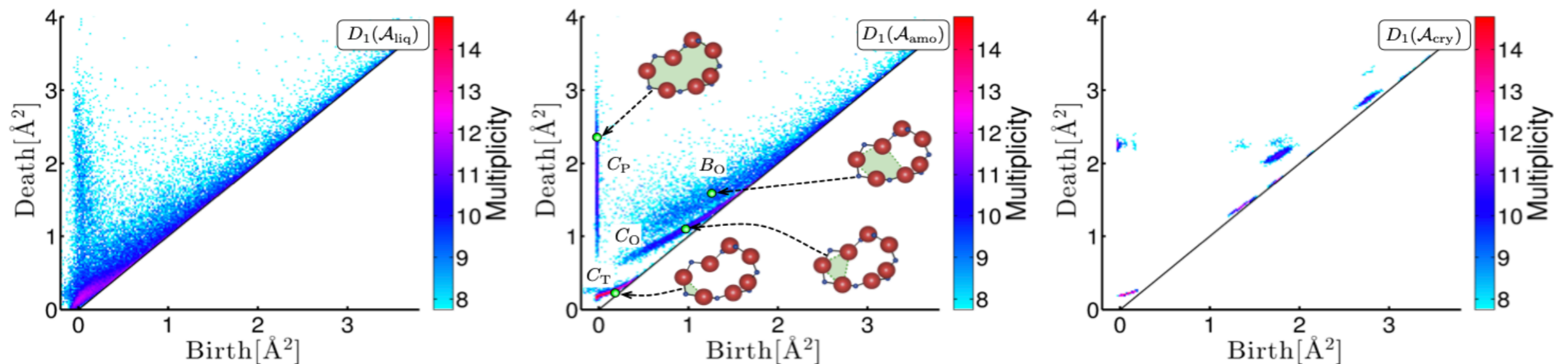


(c) Dgm<sub>1</sub>

“Novel approaches to the statistical analysis, through various summaries of the persistence diagrams, lead to heightened correlations with covariates such as age and sex, relative to earlier analyses of this data set.”

# Persistence in material science

Silica (a mineral in earth) simulated

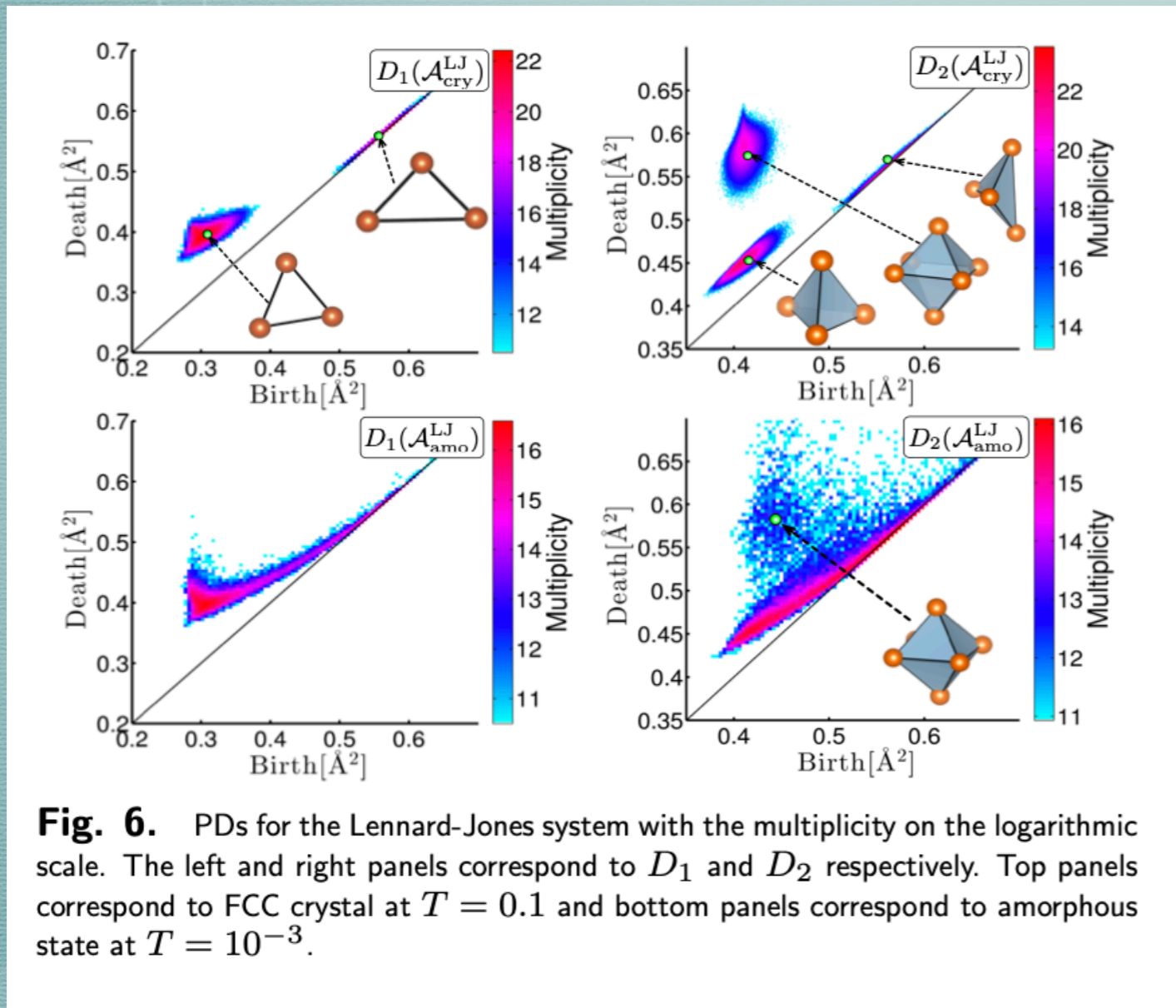


**Fig. 2.** PDs of the liquid (left), amorphous (middle), and crystalline (right) states with the multiplicity on the logarithmic scale. In the amorphous state, the three characteristic curves and one band region are labeled  $C_P$ ,  $C_T$ ,  $C_O$ , and  $B_O$ , respectively. The insets in  $D_1(\mathcal{A}_{\text{amo}})$  show rings in the hierarchical relationship, where the red and blue spheres represent oxygen and silicon atoms, respectively.

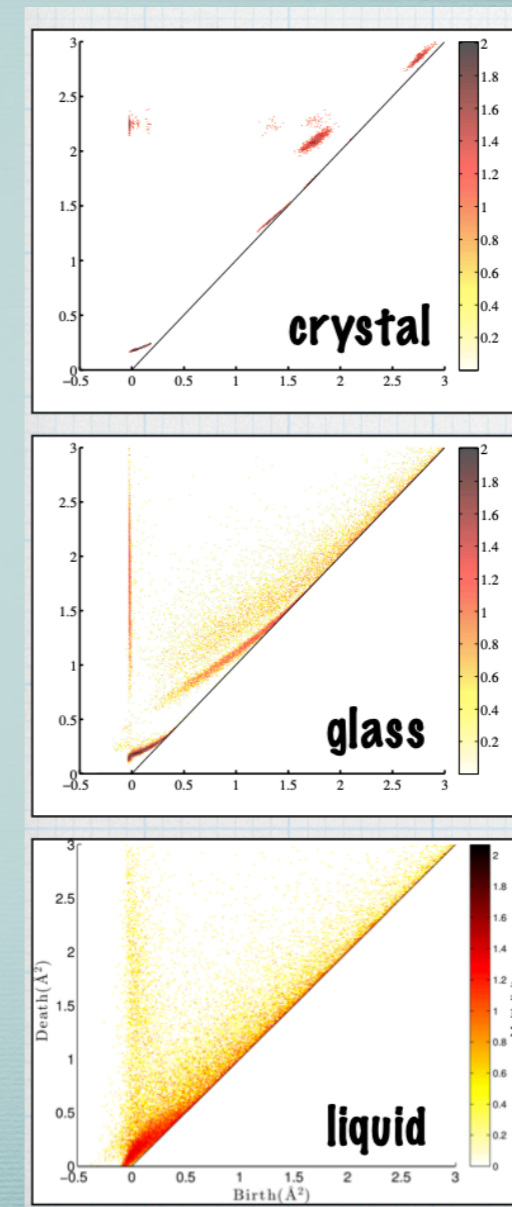


# Persistence in material science

Silica (a mineral in earth) simulated



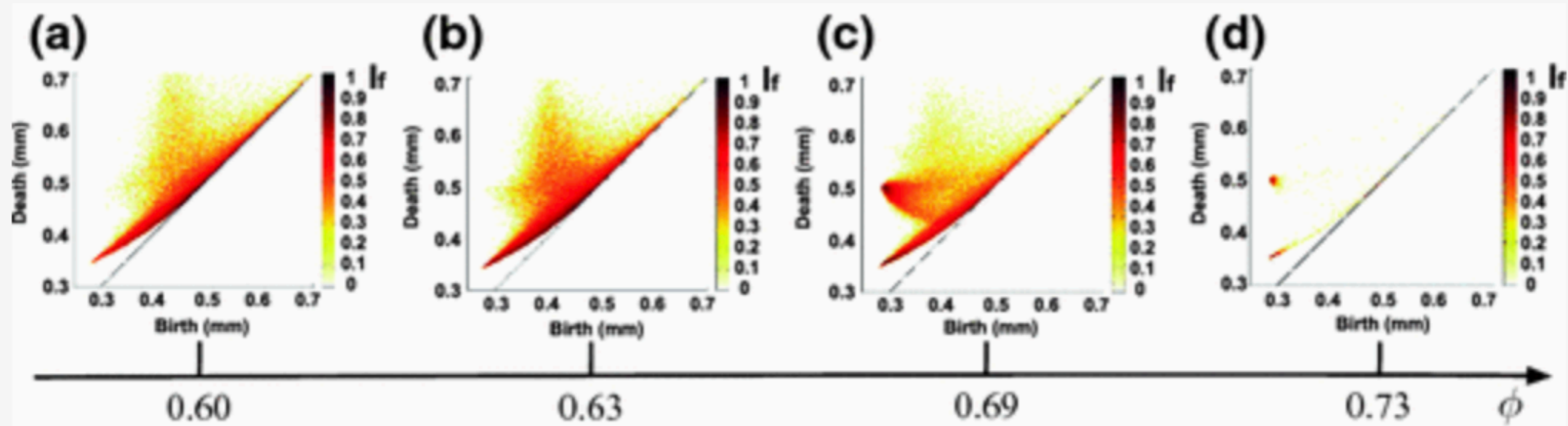
**Fig. 6.** PDs for the Lennard-Jones system with the multiplicity on the logarithmic scale. The left and right panels correspond to  $D_1$  and  $D_2$  respectively. Top panels correspond to FCC crystal at  $T = 0.1$  and bottom panels correspond to amorphous state at  $T = 10^{-3}$ .



1-dim PD of  
sample  
obtained from  
MDI  
simulation (on  
right)

# Persistence in material science

“crystallization mechanism of three-dimensional granular packings of frictional spheres is studied at the grain-scale using Xray tomography...three-dimensional images of granular packings with several packing ratio are obtained by using XCT”



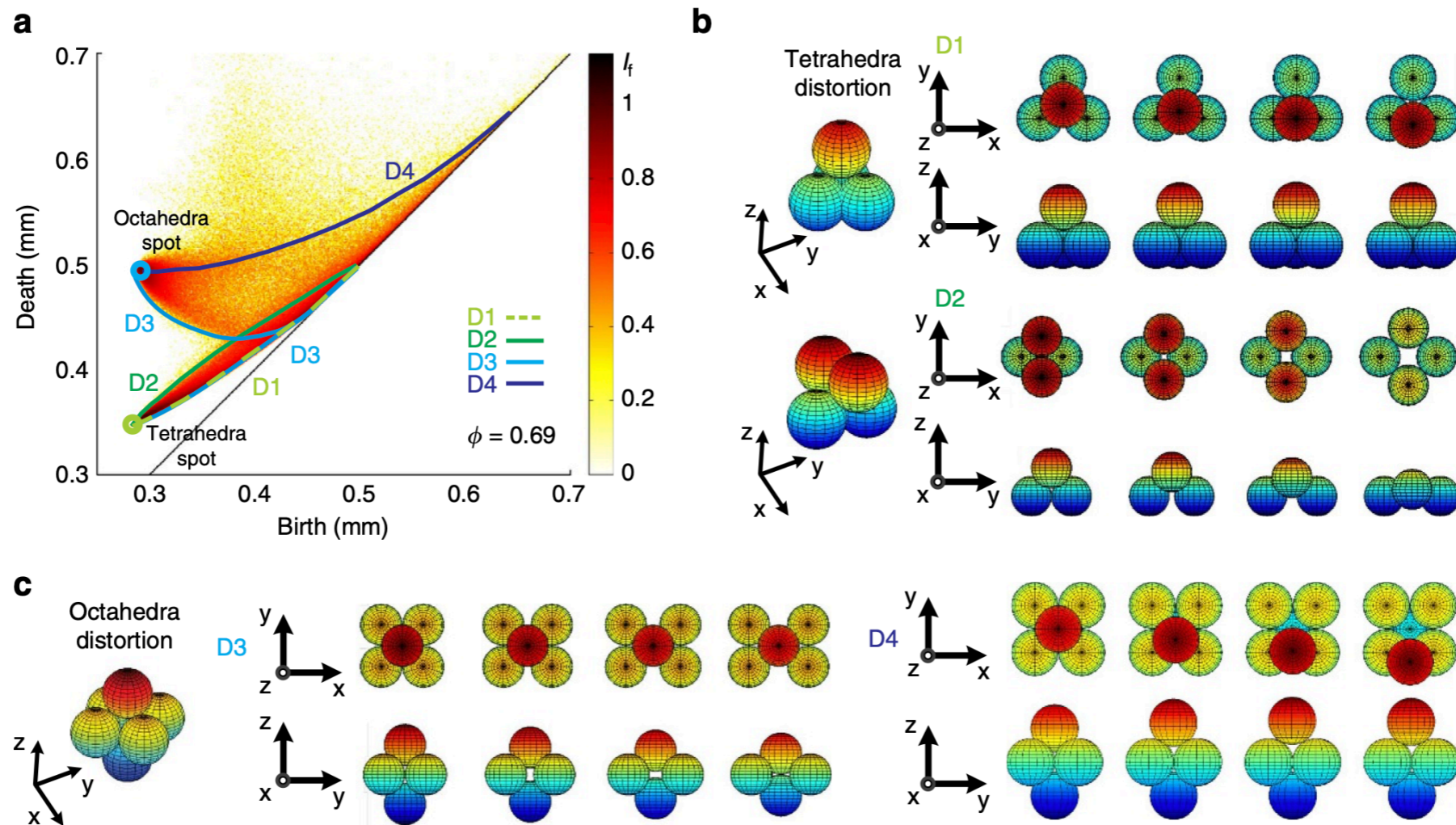
2D-diagrams

Fig. 5.14

Persistence diagrams of grain configurations for different packing ratios  
(Reproduced from [5])

# Persistence in material science

“crystallization mechanism of three-dimensional granular packings of frictional spheres is studied at the grain-scale using Xray tomography...three-dimensional images of granular packings with several packing ratio are obtained by using XCT”



**Figure 6 | Grain-scale tetrahedral and octahedral formation/deformation scenarios.** (a) PD<sub>2</sub> of a partially crystallized sphere packing with density  $\phi = 0.685$ . The superimposed curves correspond to analytically computed birth-death curves of the deformation scenarios shown in panels (b,c). (b) Top and side views of D1 and D2 deformations scenarios of a tetrahedral cavity. (c) Top and side views of D3 and D4 deformation scenarios of an octahedral cavity. The colour code indicates the relative height of sections of the grain with respect to the horizontal median plane.

# Connecting with statistics

# Into a vector space

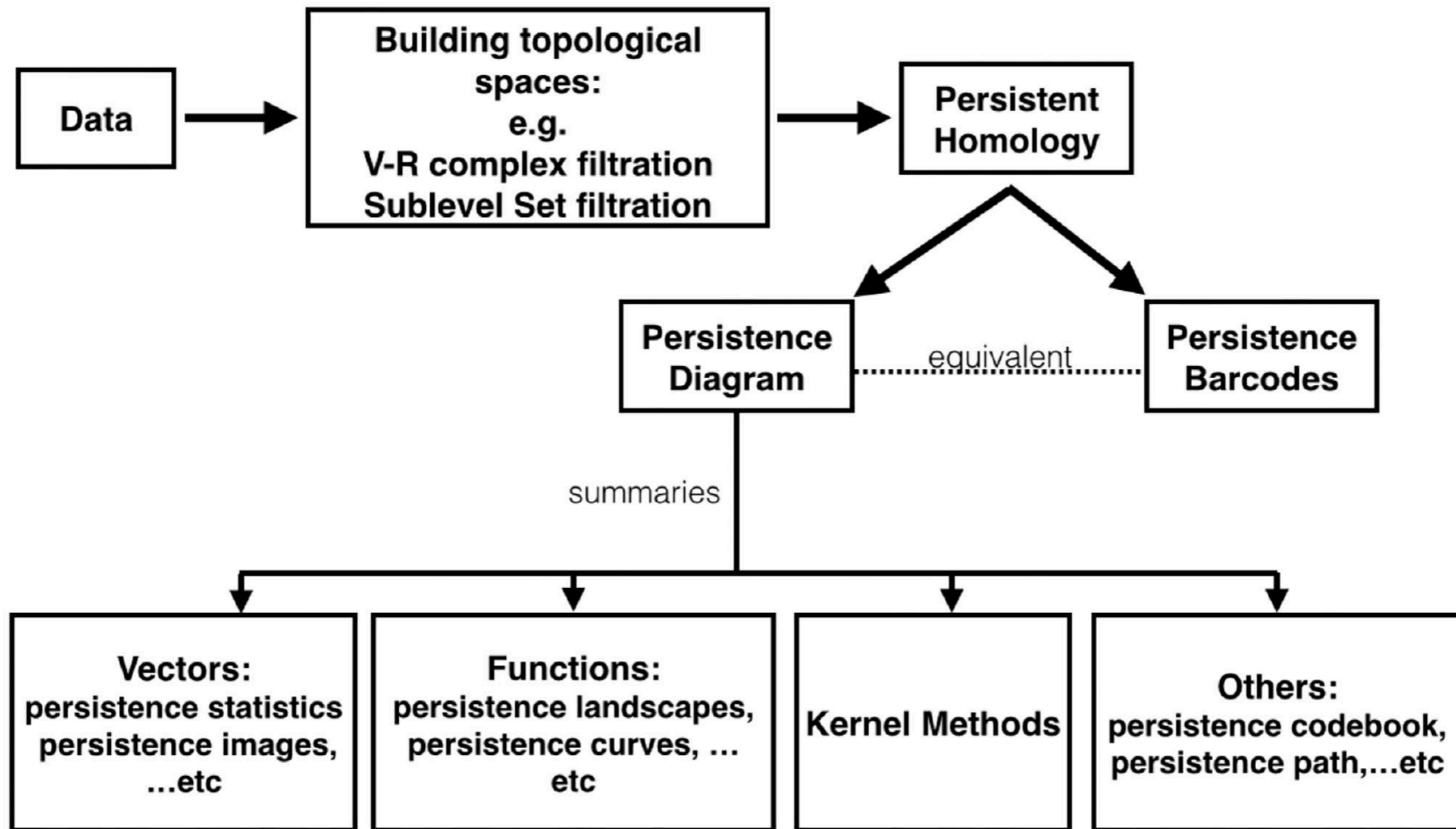
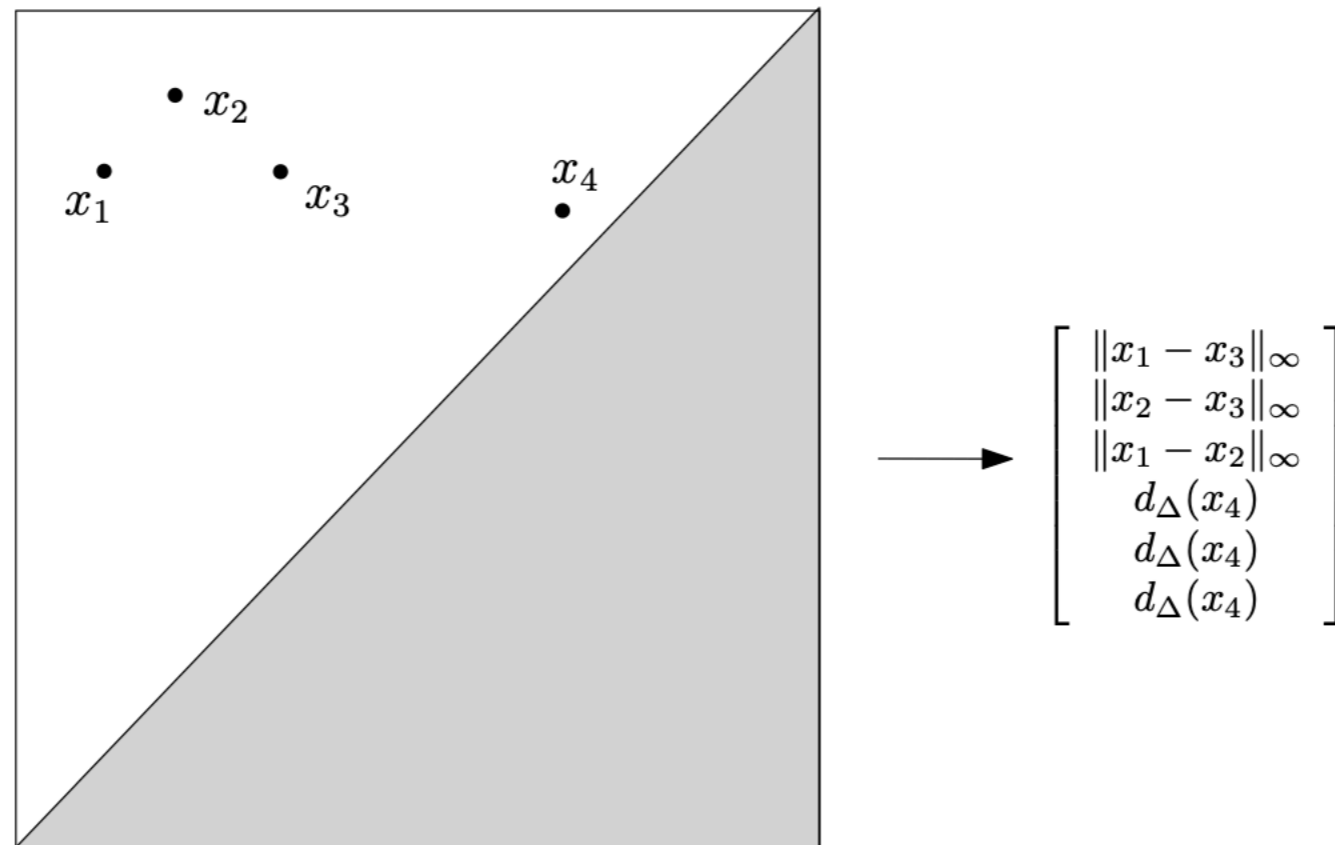


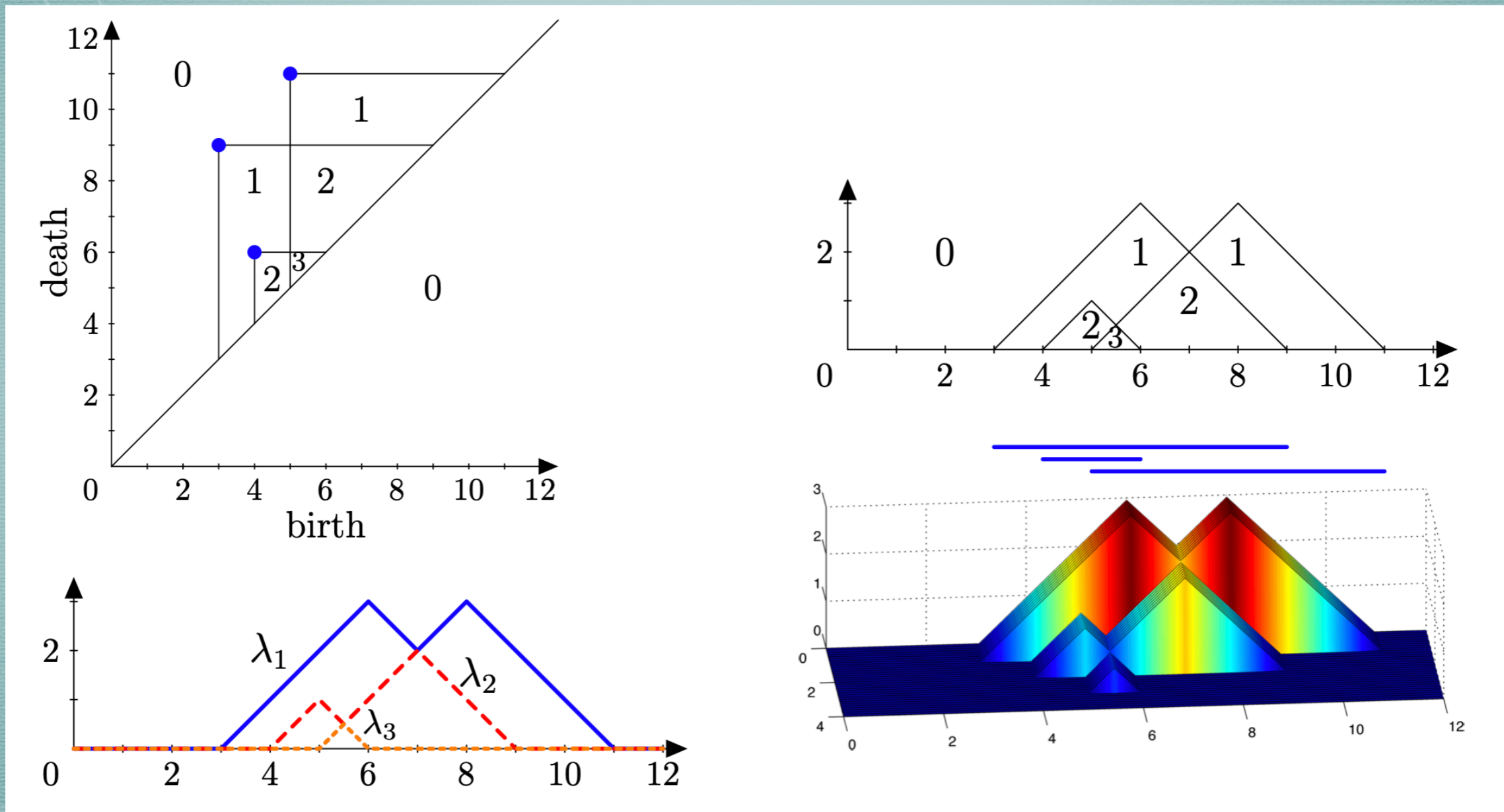
FIGURE 5 | A chart depicting relationship among existing TDA tools.

# Topological signature



**Figure 6:** Mapping of a PD to a vector, where  $d_\Delta(\cdot)$  denotes the distance to the diagonal  $\Delta$ .

# Persistence landscape



# Persistence landscape: means

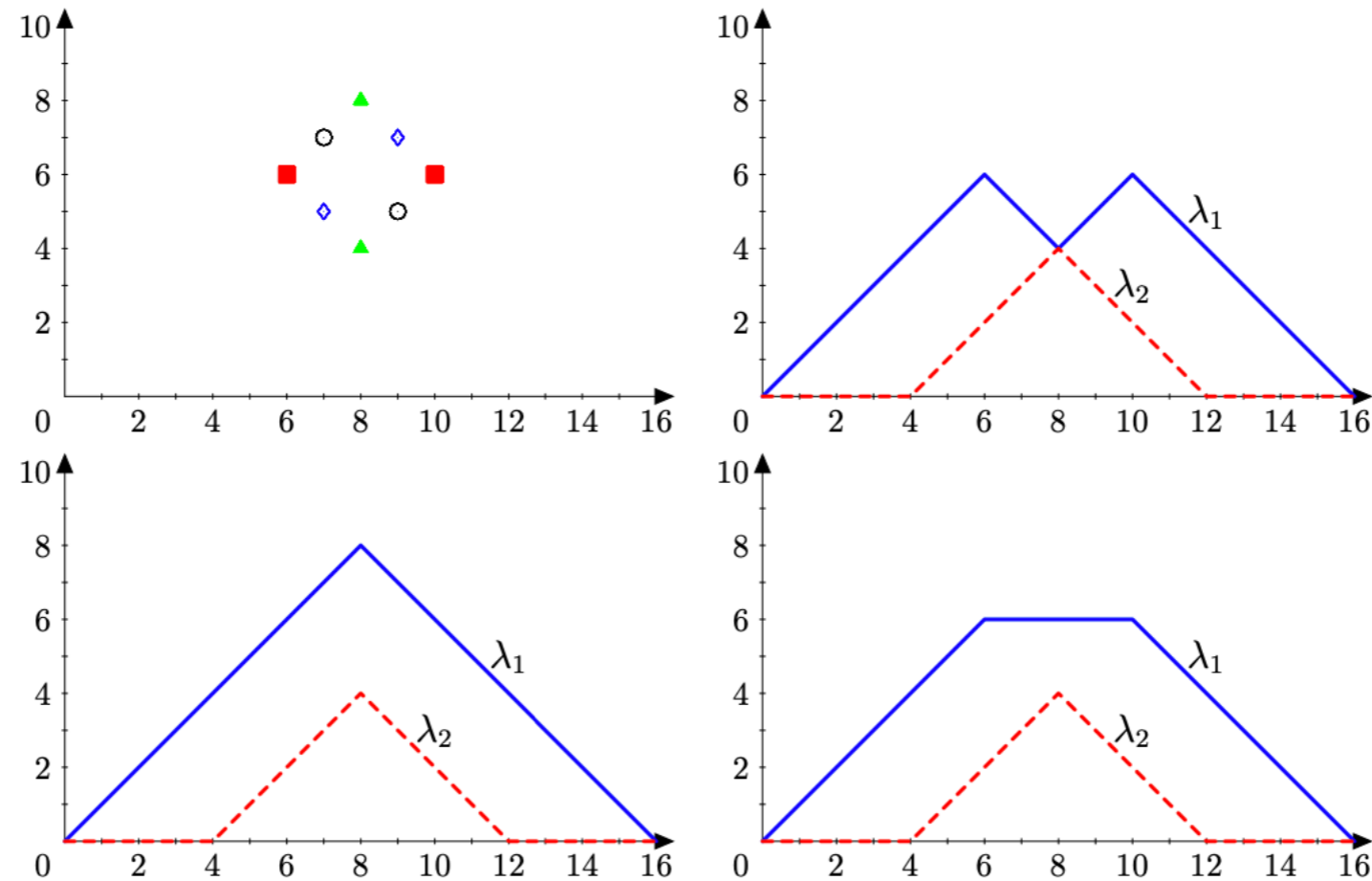
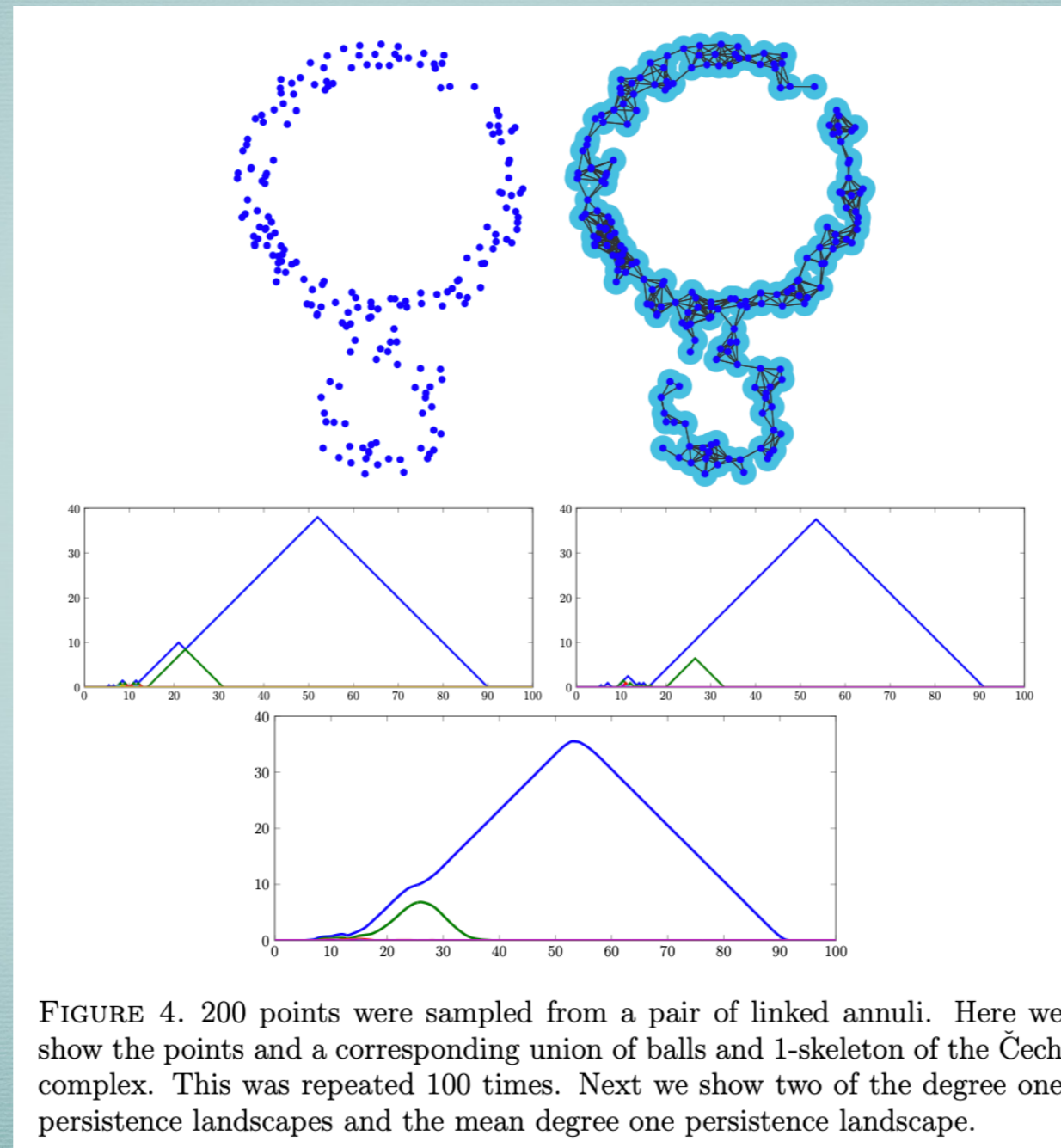


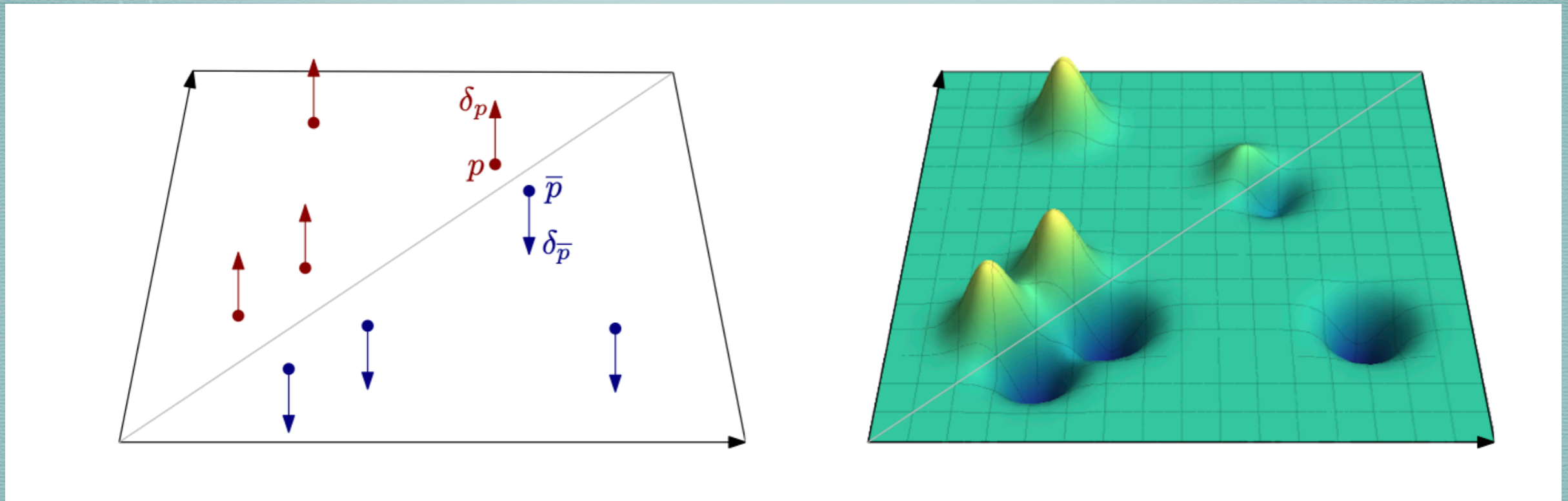
FIGURE 3. Means of persistence diagrams and persistence landscapes. Top left: the rescaled persistence diagrams  $\{(6, 6), (10, 6)\}$  and  $\{(8, 4), (8, 8)\}$  have two (Fréchet) means:  $\{(7, 5), (9, 7)\}$  and  $\{(7, 7), (9, 5)\}$ . In contrast their corresponding persistence landscapes (top right and bottom left) have a unique mean (bottom right).



# Persistence landscape: means



# Multi-scale kernel



Jan Reininghaus, Stefan Huber, Ulrich Bauer, and Roland Kwitt. A stable multi-scale kernel for topological machine learning.

# Persistence images

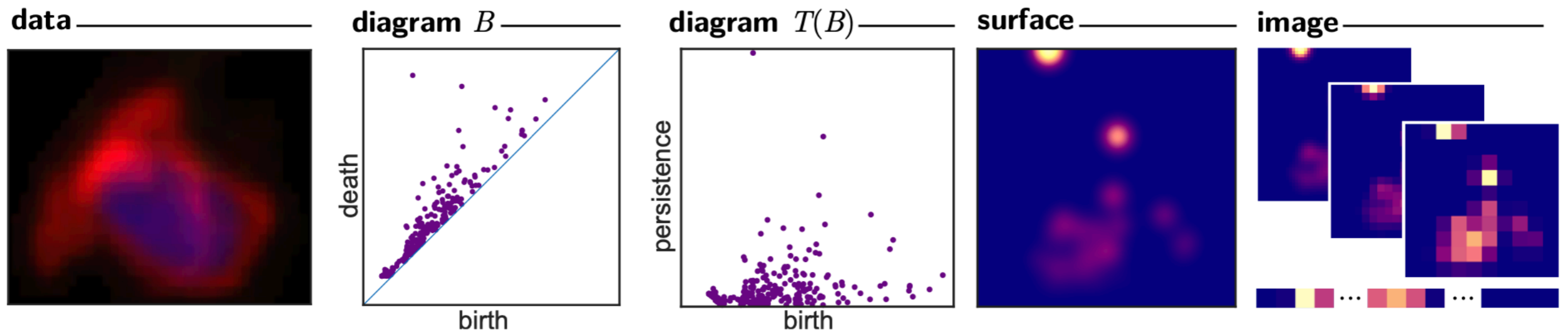


Figure 1: Algorithm pipeline to transform data into a persistence image.

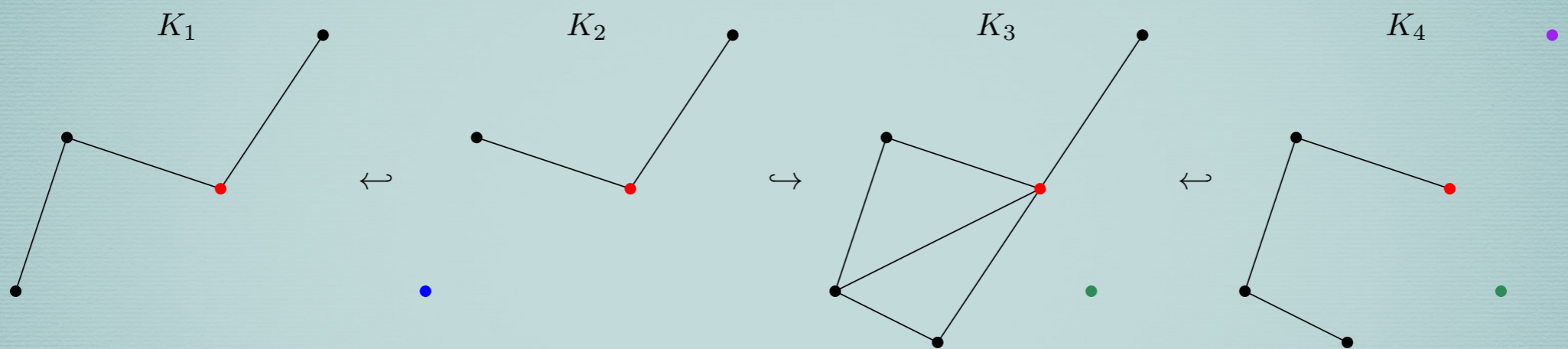
H. Adams et. al: Persistence images: A stable vector representation of persistent homology

Further analysis and examples: Danielle Barnes, Luis Polanco and Jose A. Perea: A Comparative Study of Machine Learning Methods for Persistence Diagrams

# Generalizations

# Zig-Zag persistent homology

Instead of “linear” filtration with inclusions, we have a sequence of complexes with inclusions (or maps) in **some** direction



They still decompose as bars.

$K_1$	$K_2$	$K_3$	$K_4$
Red bar			
	Blue bar		
		Green bar	
			Purple bar

# Multi-parameter persistence

$$\begin{array}{ccccccc} K_{1,m} & \hookrightarrow & K_{2,m} & \hookrightarrow & K_{3,m} & \hookrightarrow & \cdots \hookrightarrow K_{m,m} \\ \uparrow & & \uparrow & & \uparrow & & \uparrow \\ \vdots & & \vdots & & \vdots & & \vdots \\ \uparrow & & \uparrow & & \uparrow & & \uparrow \\ K_{1,2} & \hookrightarrow & K_{2,2} & \hookrightarrow & K_{3,2} & \hookrightarrow & \cdots \hookrightarrow K_{m,2} \\ \uparrow & & \uparrow & & \uparrow & & \uparrow \\ K_{1,1} & \hookrightarrow & K_{2,1} & \hookrightarrow & K_{3,1} & \hookrightarrow & \cdots \hookrightarrow K_{m,1} \end{array}$$

Decomposition problematic, other summaries are used.

# Other settings

- Stochastic topology
- Sliding window (persistence for time series)
- ...